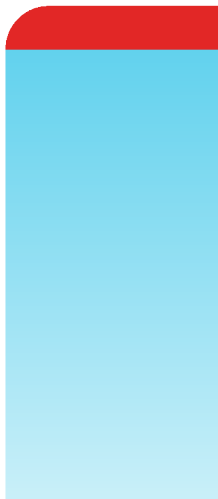


# Windows Agent 4.x.x Installation Guide

**FortiSIEM 6.4.0**



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03/01/2024

FortiSIEM 6.4.0 Windows Agent 4.x.x Installation Guide

# TABLE OF CONTENTS

Change Log .....	4
FortiSIEM Windows Agent .....	6
Prerequisites .....	7
Supported Operating Systems .....	7
Supported Languages .....	7
Hardware Requirements .....	7
Software Requirements .....	8
Communication Ports .....	8
Other Installation Considerations .....	8
Installing Windows Agent .....	9
Installing Windows Agent in VDI Environment .....	10
Installing Windows Agent 4.2.x and Later via GUI .....	11
Installing Windows Agent 4.2.x and Later via Command Line .....	13
Installing Windows Agent 4.2.x and Later via GPO .....	15
Installing Windows Agent 4.0.0 to 4.1.x .....	17
Installing Windows Agent Without Supervisor Communication .....	19
Step 1: Setup the Collector as an HTTPS Proxy .....	19
Step 2: Install Agents to Work with the Collector .....	20
Upgrading Windows Agent .....	20
Upgrading from Windows Agent Version 4.2.x and Later .....	20
Upgrading from Windows Agent 4.0.0 to 4.1.x .....	23
Verify Agent Version and Template Associations .....	24
Managing Windows Agent .....	25
Configuring Windows Servers for FortiSIEM Agents .....	25
Configuring Windows Sysmon .....	25
Configuring Windows DNS .....	26
Configuring Windows DHCP .....	27
Configuring Windows IIS .....	28
Configuring DNS Analytical Logs .....	29
Configuring Generic Binary Logs .....	30
Configuring Windows Event Forwarding .....	31
Configuring Auditing Policies .....	43
Configuring Print Log .....	49
Configuring Windows Agent for Terminal Services .....	50
Enabling FIPS .....	52
Configuring Monitoring Policies in FortiSIEM .....	52
Verifying Events in FortiSIEM .....	52
Uninstalling Windows Agent .....	53
REST APIs used for Communication .....	53
Troubleshooting from Windows Agent .....	53
Windows Agent 4.3.x and later .....	54
Windows Agent 4.2.x and earlier .....	54
Sample Windows Agent Logs .....	54

## Change Log

Date	Change Description
09-05-2018	Initial version of FortiSIEM - Windows Agent & Agent Manager Installation Guide
10-08-2018	Revision 2: Updated "Hardware and Software Requirements" - supported Desktop OS versions.
03-22-2019	Revision 3: Updated content for Windows Agent 3.1.
06-05-2019	Revision 4: Updated Prerequisites with "Other Installation Considerations" section.
07-23-2019	Revision 5: Added instructions to setup event forwarding and to configure source-initiated subscription.
08-12-2019	Revision 6: Added instruction to specify DNS log name and path in "Configuring Windows DNS" section.
09-09-2019	Revision 7: Updated to agent version 3.1.2.
10-17-2019	Revision 8: Changes to Configuring Windows Servers. Organizational changes.
10-30-2019	Revision 9: Added support for Windows Server 2019 and Windows Server 2019 Core.
11-25-2019	Revision 10: Changed the name of the event from AO-WUA to AccelOps-WUA. Added instructions to create InstallSettings.xml in case a copy is not included with binary distribution.
03-30-2020	Revision 11: Added additional sample File Integrity Monitoring Logs. Changes to the steps in Installing Windows Agent. Changes to the steps in Configure Security Audit Logging Policy.
05-22-2020	Revision 12: Changed the location of DNS logs to C:\DNSLogs.log.
06-30-2020	Revision 13: Updated to agent version 4.0.0.
11-25-2020	Revision 14: Updated "Uninstalling Windows Agent" section.
01-04-2021	Revision 15: Updated "Installing Windows Agent" section.
03-15-2021	Revision 16: Updated "Installing Windows Agent" section.
04-21-2021	Revision 17: Updated "Managing Windows Agent" section.
05-12-2021	Revision 18: Added Configuring Print Log section.
07-22-2021	Revision 19: Updated "Installing Windows Agent" section.
02-04-2022	Revision 20: Added "Configuring Windows Agent for Terminal Services" section.
02-23-2022	Revision 21: Added notes on using special characters in password in CLI.

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Date	Change Description
03-09-2022	Revision 22: Updated upgrade sections, and added information on version 4.2.3.
08-18-2022	Revision 23: Updated Prerequisites: Other Installation Considerations.
10-28-2022	Revision 24: Updated to include Windows Agent 4.3.0 information.
10-31-2022	Revision 25: Updated Other Installation Considerations section.
01-23-2023	Revision 26: Updated content for Windows Agent 4.4.0.
02-03-2023	Revision 27: Updated Installing Windows Agent in VDI Environment section.
06-07-2023	Revision 28: Added Windows 11 to Supported Operating Systems.
08-11-2023	Revision 29: Updated Software Requirements under Prerequisites.
11-09-2023	Revision 30: Updated Troubleshooting from Windows Agent.

# FortiSIEM Windows Agent

FortiSIEM Windows Agents provide a scalable way to collect logs and other audit violations from a large number of Windows servers.

This section describes how to install, setup, maintain, and troubleshoot FortiSIEM Windows Agent 4.x.x.

**Note:** Starting with Windows Agent 4.2.0, the Windows Agent Installation offers an enhanced GUI interface.

- [Prerequisites](#)
- [Installing Windows Agent](#)
  - [Installing Windows Agent in VDI Environment](#)
  - [Installing Windows Agent 4.2.x and Later via GUI](#)
  - [Installing Windows Agent 4.2.x and Later via Command Line](#)
  - [Installing Windows Agent 4.2.x and Later via GPO](#)
  - [Installing Windows Agent 4.0.0 to 4.1.x](#)
- [Installing Windows Agent Without Supervisor Communication](#)
- [Upgrading Windows Agent](#)
  - [Upgrading from Windows Agent 4.2.x and Later](#)
  - [Upgrading from Windows Agent 4.0.0 to 4.1.x](#)
  - [Verify Agent Version and Template Associations](#)
- [Managing Windows Agent](#)
- [Configuring Windows Servers for FortiSIEM Agents](#)
  - [Windows Sysmon](#)
  - [Windows DNS](#)
  - [Windows DHCP](#)
  - [Windows DNS Analytical Logs](#)
  - [Windows Generic Binary Logs](#)
  - [Configuring Windows Event Forwarding](#)
    - [Configuring Locale on Windows Servers](#)
    - [Configuring Source-Initiated Subscription](#)
  - [Configuring Auditing Policies](#)
    - [Configure Security Audit Logging Policy](#)
    - [Configure File Auditing Policy](#)
    - [Configure Audit File System Policy](#)
    - [Disable Audit Token Right Adjusted Success Events](#)
  - [Configuring Print Log](#)
  - [Configuring Windows Agent for Terminal Services](#)
  - [Enabling FIPS](#)
- [Configuring Monitoring Policies in FortiSIEM](#)
- [Verifying Windows Events in FortiSIEM](#)
- [Uninstalling Windows Agent](#)
- [REST APIs used for Communication](#)

- 
- [Troubleshooting from Windows Agent](#)
  - [Sample Windows Agent Logs](#)

## Prerequisites

Ensure that the following prerequisites are met before installing FortiSIEM Windows Agent:

- [Supported Operating Systems](#)
- [Supported Languages](#)
- [Hardware Requirements](#)
- [Software Requirements](#)
- [Communication Ports](#)
- [Other Installation Considerations](#)

## Supported Operating Systems

FortiSIEM Windows Agent 4.x.x runs on the following Operating Systems:

- Windows 7 Enterprise/Professional
- Windows 8
- Windows 10
- Windows 11
- Windows Server 2008 R2
- Windows Server 2012
- Windows Server 2012 R2
- Windows Server 2016
- Windows Server 2019
- Windows Server 2019 Core
- Windows Server 2022

## Supported Languages

All languages in which the Windows Operating System is available are supported.

## Hardware Requirements

Component	Requirement
CPU	x86 or x64 (or compatible) at 2 GHz or higher
Hard Disk Free space	10 GB (minimum)
Server Operating System	- Windows Server 2008 R2 and above (strongly recommended) - Desktop Operating System: Windows 7, 8, 10 and above

Component	Requirement
RAM	<ul style="list-style-type: none"> <li>- For 32 bit OS: 2 GB for Windows 7, 8, 10 minimum</li> <li>- For 64 bit OS: 4 GB for Windows 7, 8, 10, Windows Server 2008 / 2012 minimum</li> </ul>

## Software Requirements

Windows Agent Version	Component	Requirement	Notes
4.2	Installed Software	.NET Framework 4.5	.NET Framework 4.5 can be downloaded from <a href="http://www.microsoft.com/en-us/download/details.aspx?id=30653">http://www.microsoft.com/en-us/download/details.aspx?id=30653</a> , and is already available on Windows 8 and Windows Server 2012
4.3.0+	Installed Software	.NET Framework 4.6 or later	.NET Framework 4.6 can be downloaded from <a href="https://www.microsoft.com/en-us/download/details.aspx?id=48137">https://www.microsoft.com/en-us/download/details.aspx?id=48137</a> .

## Communication Ports

FortiSIEM Windows Agent 4.x.x communicates outbound via HTTPS with Supervisor and Collectors.

1. The Agent registers to the Supervisor and periodically receives monitoring template updates if any, via HTTP(S).
2. The Agent then forwards the events to the Collectors via HTTP(S).

Ensure that Firewalls, if any, between the Agents and Supervisor/Collector permit HTTP(S) traffic on port 443. If you decide to upgrade Windows Agent 4.2.0 or later from the Supervisor (see [Upgrade from Supervisor](#)), then make sure the Supervisor can communicate with FortiGuard Service (update.fortiguards.net) on port 443 to validate the upgrade images.

## Other Installation Considerations

The FortiInsight UEBA module uses WinVerity APIs to validate that its executable hasn't been tampered with. This process requires the root certificate chain to be present on the endpoint device in question. FortiSIEM Windows Agent is signed using a DigiCert Authenticode Certificate, which requires the DigiCert Trusted Root G4 Certificate to be present in the Certificate Store.

Normally these certificates will be updated along with Windows Updates, however if the endpoint device does not allow for Certificate Authorities to be updated via this mechanism, you must install it manually for the FortiInsight UEBA module to work correctly.

These certificates can be found here:

<https://www.digicert.com/kb/digicert-root-certificates.htm>



Search for G4 root certificate, serial number: 05:9B:1B:57:9E:8E:21:32:E2:39:07:BD:A7:77:75:5C.

Or direct link to DER/CRT: <https://cacerts.digicert.com/DigiCertTrustedRootG4.crt>

Once the certificate has been downloaded, simply right click the certificate from the download and select "install certificate".

Follow the certificate wizard and import will complete.

Beginning with Windows Agent release 3.0:

- Agents must upload event data to a Collector. Therefore, minimum architecture is one Super appliance and one Collector appliance.
- The Collector must be installed as IPv4 only. Dual stack IPv4/IPv6 or IPv6 Collectors are not supported with Agents.
- Enable TLS 1.2 for Windows Agent to communicate with FortiSIEM Super/Worker/Collector nodes. Without TLS 1.2 enabled, Windows Agent installation will fail. By default, SSL3 / TLS 1.0 is enabled in Windows 7, 8 and 2008-R2. Before proceeding with the Windows Agent installation, please enable TLS 1.2 (if not already enabled) as follows:

- a. Start elevated Command Prompt (i.e., with administrative privilege)
- b. Run the following commands sequentially as shown.

```
REG ADD
"HKLM\SYSTEM\CurrentControlSet\Control\SecurityProviders\SCHANNEL\Protocols\TLS
1.2\Client" /v DisabledByDefault /t
REG_DWORD /d 00000000
```

- Switch off Disk Fair Share. If it is on, then the real user in UEBA may not be captured. You can switch it off by running the following commands in powershell:

```
$temp = (gwmi win32_terminalsettingsetting -N "root\cimv2\terminalservices")
$temp.enableDiskFSS = 0
$temp.put()
```

For more information on Disk Fair Share, see <https://support.microsoft.com/en-gb/help/4494631/fair-share-technologies-enabled-by-default-in-remote-desktop-services>.

## Installing Windows Agent



Before installing FortiSIEM Agent on FortSIEM Nodes, you must do detailed performance testing since FortSIEM nodes consume significant CPU to process a high volume of events in real-time.

During installation, the Windows Agent will register with FortiSIEM Supervisor.

The required parameters are:

- **SUPER\_IP**: IP Address or Host name/FQDN of Supervisor node
- **ORG\_ID**: FortiSIEM Organization Id to which this Agent belongs
- **ORG\_NAME**: FortiSIEM Organization Name
- **AGENT\_USER**: Agent user name (for registration only)
- **AGENT\_PASSWORD**: Agent password (for registration only)

- **HOST\_NAME:** This name will be displayed in FortiSIEM CMDB. FortiSIEM recommends using a Fully Qualified Domain Name (FQDN), especially if SNMP or WMI is also going to be used against this device. FQDN allows for standardized naming convention.



For Service Provider installations, the Agent user name and password is defined in the Organization. See [here](#) for details.

For Enterprise installations, Agent user name and password is defined in **CMDB > User** page. You must create a user and check **Agent Admin**. See [here](#) for details.

---

Follow the instructions for the Windows Agent version you plan to install.

- [Installing Windows Agent in VDI Environment](#)
- [Installing Windows Agent 4.2.x and Later via GUI](#)
- [Installing Windows Agent 4.2.x and Later via Command Line](#)
- [Installing Windows Agent 4.2.x and Later via GPO](#)
- [Installing Windows Agent 4.0.0 to 4.1.x](#)

**Notes:** Starting with release 4.4.0, Agent Setup GUI allows you to select your License Type as Enterprise or Service Provider from a drop-down list.

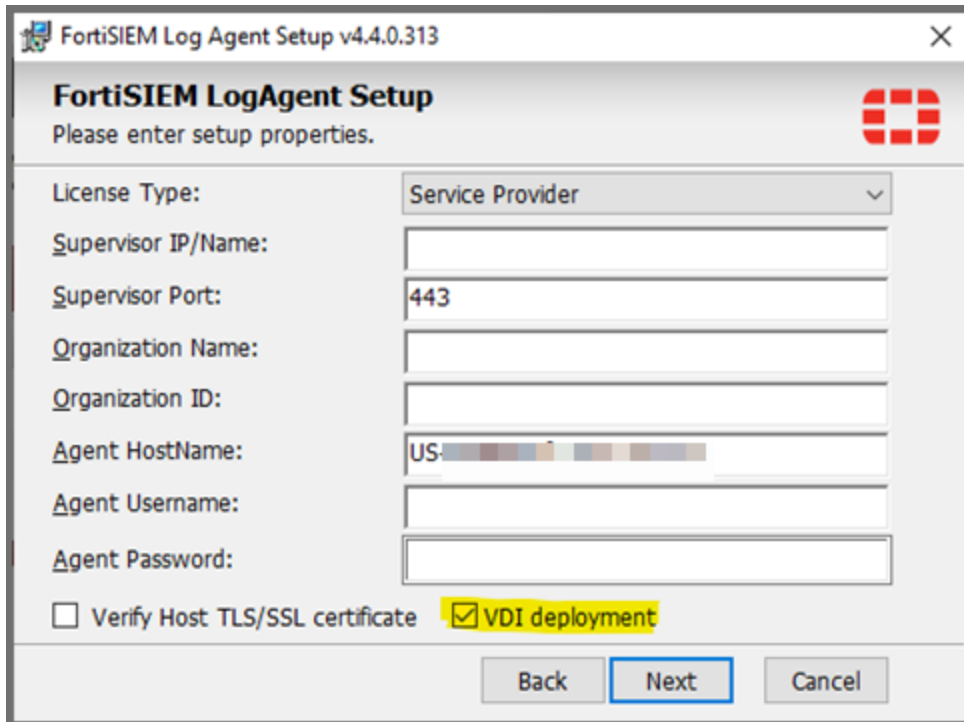
Starting with release 4.2.0, Agent Setup GUI allows you to enter the Agent Configuration parameters (See [Installing Windows Agent 4.2.x and Later via GUI](#)). Also, version 4.2.3 provides a way for the user to install the agent so that service can be stopped (See [Installing Windows Agent 4.2.x and Later via Command Line](#)).

## Installing Windows Agent in VDI Environment

Starting with release 4.4.0, the Windows Agent supports Virtual Desktop Infrastructure (VDI) as a deployment mechanism. VDI deployment also supports ReadOnly VDI images. In this scenario, device names will be added to CMDB > Device list as the active session user, separating domain and username with two underscores '\_\_' (i.e. domain\_\_username).

To install onto a VDI, the ReadOnly images installation process is similar to a regular installation, but must follow these initial steps.

1. Install the Windows Agent onto the Golden image of your VDI image. When prompted for settings, ensure that you check the **VDI deployment** checkbox.



2. Allow the Golden Image to register and send data to your FortiSIEM Deployment.
3. Once verified, create a snapshot of your Golden Image.
4. Start your ReadOnly VDI image.
5. Verify the new VDI session (with domain\_\_user) has been able to register, and is in Running Active State.
6. Shutdown the VDI session.

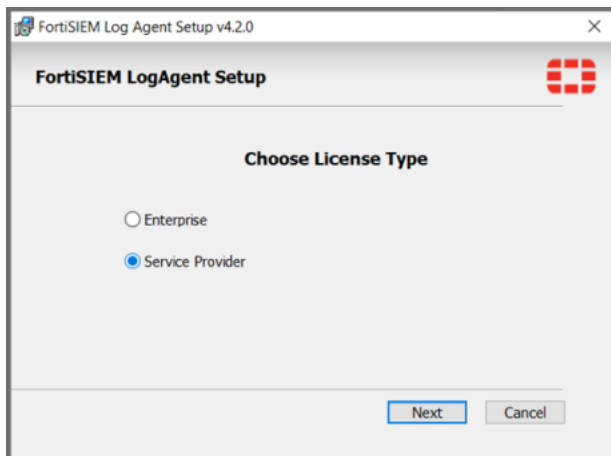
When the user logs on to the VDI environment and downloads a VM from the VDI Server, the VM contains a VDI transient image (containing the Windows Agent). The agent automatically registers to the FortiSIEM Supervisor node, with host name set to <DOMAIN>\_\_<USERNAME> in CMDB.

When the user logs off from the VDI environment, the agent automatically unregisters to the FortiSIEM Supervisor node. The agent's status is decommissioned, so that it does not consume an agent license.

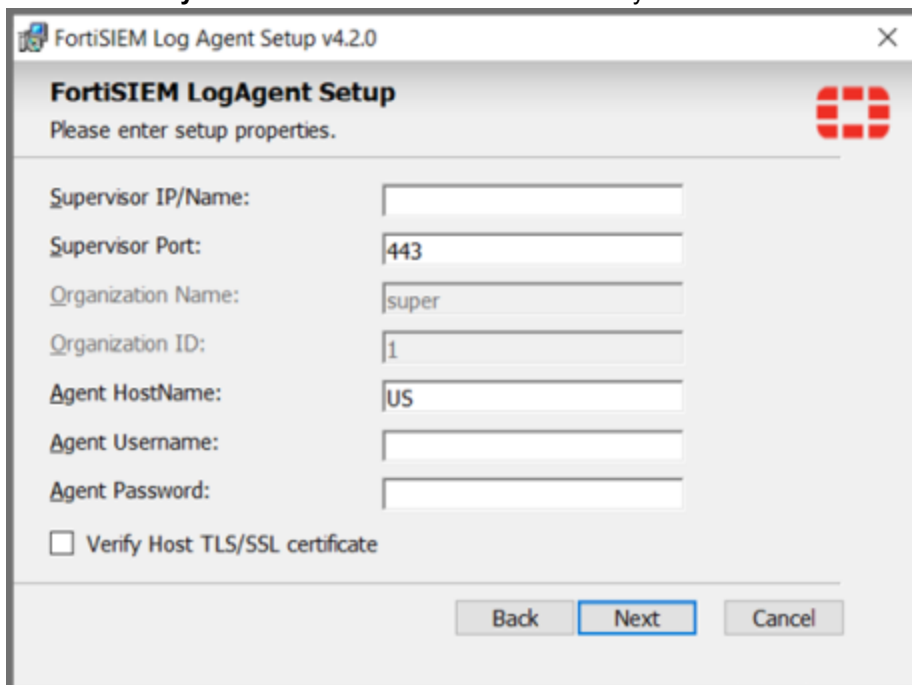
## Installing Windows Agent 4.2.x and Later via GUI

To install Windows Agent 4.2.x and later via GUI, take the following steps.

1. Log in to the Windows machine as Administrator.
2. Copy Windows Agent 4.2.x binary `FSMLogAgent-v4.2.x.exe`, Windows Agent 4.3.x binary `FSMLogAgent-v4.3.x.exe`, or Windows Agent 4.4.x binary `FSMLogAgent-v4.4.x.exe` to the same folder.
3. Ensure that the `FSMLogAgent-v4.2.x.exe`, `FSMLogAgent-v4.3.x.exe`, or Windows Agent 4.4.x binary `FSMLogAgent-v4.4.x.exe` in step 2 is in the same folder (example: copy to `c:\Temp\`).
4. Double-click the `FSMLogAgent-v4.2.x.exe`, `FSMLogAgent-v4.3.x.exe`, or Windows Agent 4.4.x binary `FSMLogAgent-v4.4.x.exe` package and the installation process will start.
5. In the **Choose License Type** dialog box, select **Enterprise** or **Service Provider**, and click **Next**.  
**Note:** With Windows Agent 4.4.0 and later, the dialog box is replaced with a **License Type** drop-down list.



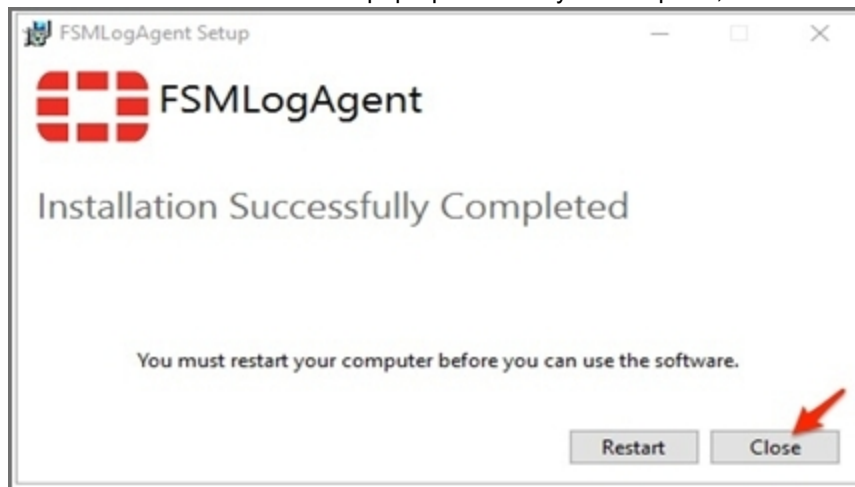
6. In the **Supervisor IP/Name** field, enter the Supervisor IP address or hostname.
7. In the **Supervisor Port** field, enter the Supervisor port number. The default value is 443.
8. If applicable, in the **Organization Name** field, enter the organization name.  
**Note:** The field will be greyed out if it is not applicable.
9. If applicable, in the **Organization ID** field, enter the organization ID.  
**Note:** The field will be greyed out if it is not applicable.
10. In the **Agent HostName** field, enter the agent hostname.
11. In the **Agent Username** field, enter the agent username to access the Windows Agent.  
**Note:** The agent username cannot contain special characters: !#%&^\\:;<>=?[]{}^`|~
12. In the **Agent Password** field, enter the password associated with the agent username entered earlier.  
**Note:** The password must be between 8-64 characters, with at least 1 letter, 1 number and 1 special character (e.g. \$\*&%).
13. Check the **Verify Host TLS/SSL certificate** checkbox if you wish to confirm the Host TLS/SSL certificate.



14. Click **Next** to proceed with installation.

If any settings errors are detected, a dialog box will instruct you on the field that needs to be re-entered. When all fields are valid, the installation will start. After a successful installation, the Agent will register to the Supervisor and start running.

**Note:** If the installation returns a pop-up to restart your computer, click **Close**.



## Installing Windows Agent 4.2.x and Later via Command Line

To install Windows Agent 4.2.x and Later via Command Line (CLI), take the following steps.

1. Log in to the Windows machine where Windows Agent will be installed as Administrator.
2. Copy Windows Agent 4.2.x binary `FSMLogAgent-v4.2.x.exe`, Windows Agent 4.3.x binary `FSMLogAgent-v4.3.x.exe`, or Windows Agent 4.4.x binary `FSMLogAgent-v4.4.x.exe` to the same folder.
3. Ensure that the `FSMLogAgent-v4.2.x.exe`, `FSMLogAgent-v4.3.x.exe`, or `FSMLogAgent-v4.4.x.exe` in step 2 is in the same folder (example: copy to `c:\Temp\`).
4. Launch **Command Prompt**, go to the Installation packages saved location, and run  
`FSMLogAgent.exe SUPERNAME=<Supervisor IP Address or Hostname>  
SUPERPORT=<Supervisor port #> ORGNAME=<Organization name> ORGID=<Organization ID>  
AGENTUSER=<Agent username> AGENTPASSWORD=<Agent password>  
HOSTNAME=<Hostname of the Agent, Leave blank to use the default name>  
SSLCERT=<Use '1' to Verify Host TLS/SSL certificate, don't use this parameter if  
you don't need verify Host TLS/SSL certificate>`

Example:

```
C:\Temp\FSMLogAgent.exe SUPERNAME="192.0.20.0" SUPERPORT="443" ORGNAME="org2"  
ORGID="2001" AGENTUSER="agent" AGENTPASSWORD="agentpass*1" HOSTNAME="examplehost"
```

To run in silent mode, add `/quiet` to the end of the installation command.

Example:

```
C:\Temp\FSMLogAgent.exe SUPERNAME="192.0.2.0" SUPERPORT="443" ORGNAME="org2"  
ORGID="2001" AGENTUSER="agent" AGENTPASSWORD="agentpass*1" HOSTNAME="examplehost"  
SSLCERT="1" /quiet
```

5. The installation process will start. If any settings errors are detected, the install process will fail, otherwise it will succeed. The Agent will register to the Supervisor and start running.

## Using Special Characters in Password when Registering via CLI

Choose characters from the set published here: <https://owasp.org/www-community/password-special-characters>

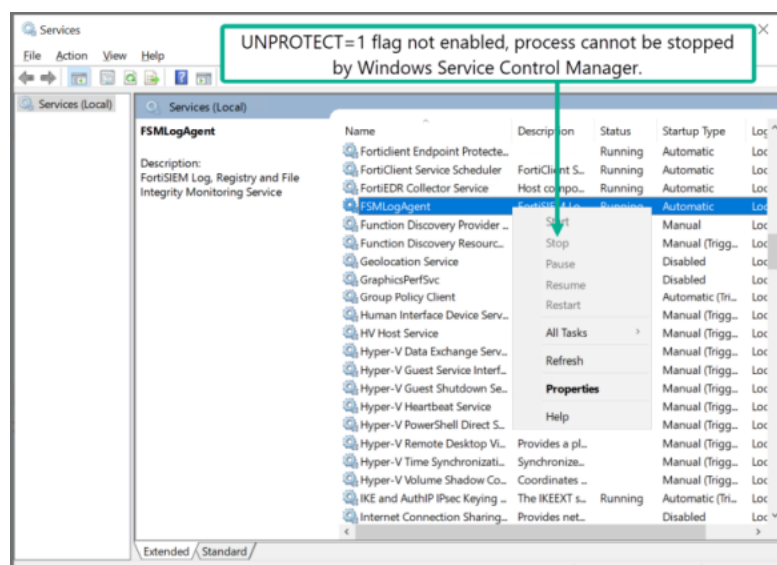
The password needs to be enclosed in double quote. If the password contains double quote("), then use double quote("") to escape - e.g. "Password""11"

## Installing with the Ability to Stop Agent Service

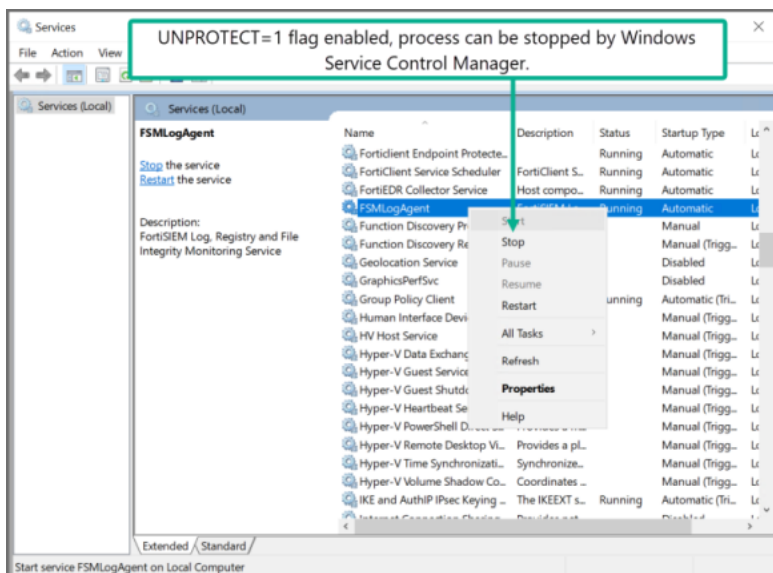
Normal installations do not allow you to stop the Windows Agent from Windows Service Control Manager. Starting with release 4.2.3, you can do this by adding the UNPROTECT=1 option to the command line, e.g.

```
./FSMLogAgent.exe SUPERNAM="192.0.20.0" SUPERPORT="443" ORGNAME="org2" ORGID="2001"  
AGENTUSER="agent" AGENTPASSWORD="agentpass*1" HOSTNAME="examplehost" UNPROTECT=1
```

If you do not add the UNPROTECT=1 flag, then the process cannot be stopped from Windows Service Control Manager. This is the default behavior.



If you add the UNPROTECT=1 flag , then the Administrator can stop the process from Windows Service Control Manager.



## Installing Windows Agent 4.2.x and Later via GPO

Once you have created a MSI transforms file, you then use this to pre-load all properties into the install during GPO. For information on creating a MSI transform file, see [Creating a MSI Transforms File](#).

To install, take the following steps.

1. Navigate to the download location of the FortiSIEM Windows Agent.
2. Run the following command:

```
msiexec /i FSMLogAgent_x64.msi /qn TRANSFORMS=<transforms_file>
```

Example:

```
msiexec /i FSMLogAgent_x64.msi /qn TRANSFORMS=fsmlogagent.mst
```

Once complete the transforms file will be used to provide the required properties when installing the FortiSIEM Windows Agent.

To check for successful registration, take the following steps.

1. Log in to FortiSIEM in Super Global mode as Admin user.
2. Go to **CMDB** and search for the Agent Host name.
3. Check the **Status** column.

Make sure the Templates and Host to Template association policies are defined for this Host by taking the following steps:

1. Log in to FortiSIEM in Super Global mode.
2. Go to **ADMIN > Setup > Windows Agent** and make sure the templates and host to template associations are defined.  
One of the host-to-template association policies must match this agent. The first matched policy will be selected.

## Creating a MSI Transforms File

When deploying the FortiSIEM Windows Agent via Active Directory Group Policy Object, you are advised to create a MSI transforms file to pre-populate the MSI properties.

Outlined below is a way to create a transforms file using ORCA, a third party application provided by Microsoft. Although other third party tools are available, this process was verified and tested on ORCA version 5.0.10011.0.

After installing ORCA, load the FortiSIEM Windows Agent MSI by taking the following steps.

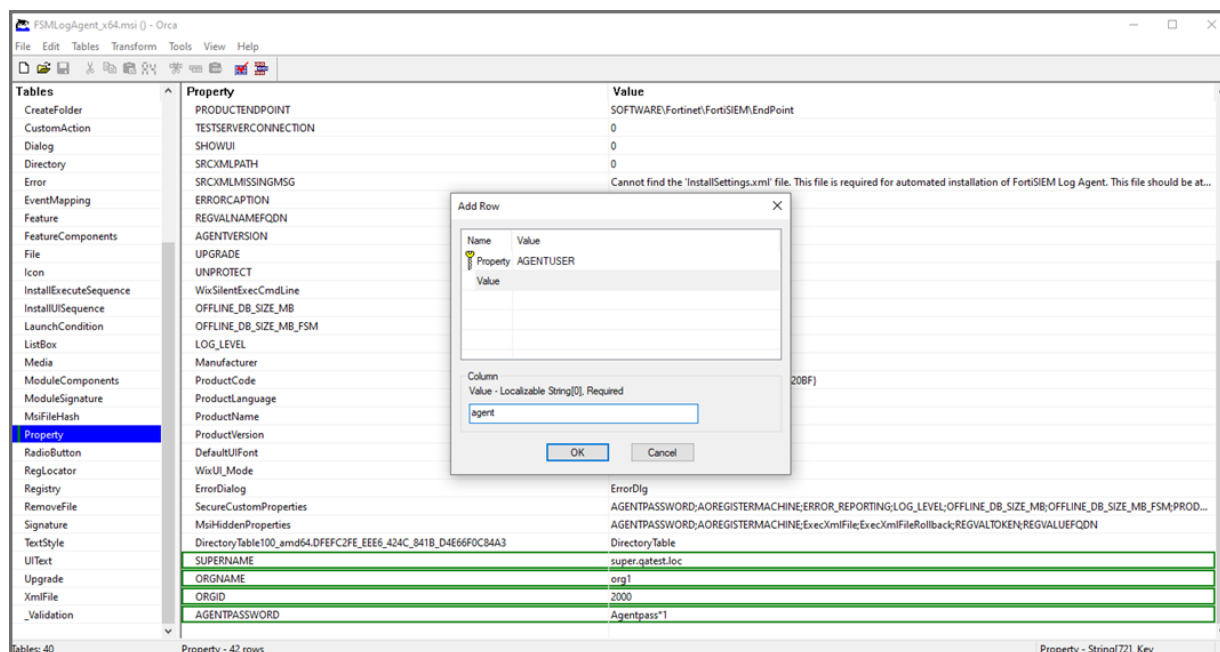
1. Select File > Open.
2. Navigate to the FortiSIEM Windows Agent download location.
3. Select the MSI file you want to create a transforms file for (FSMLogAgent\_x64.msi is used in this example).

Once the chosen MSI is loaded into ORCA, you can create a new transforms file ready for use by taking the following steps.

1. In ORCA, select Transform > New Transform.
2. Select **Property** from the left Tables side panel.
3. Add the following properties from the following table , with your specific values, either by:
  - a. Clicking on a new row to add property.
  - b. Right clicking on empty space, and select Add Row.
  - c. Using key combination of CTRL+R.

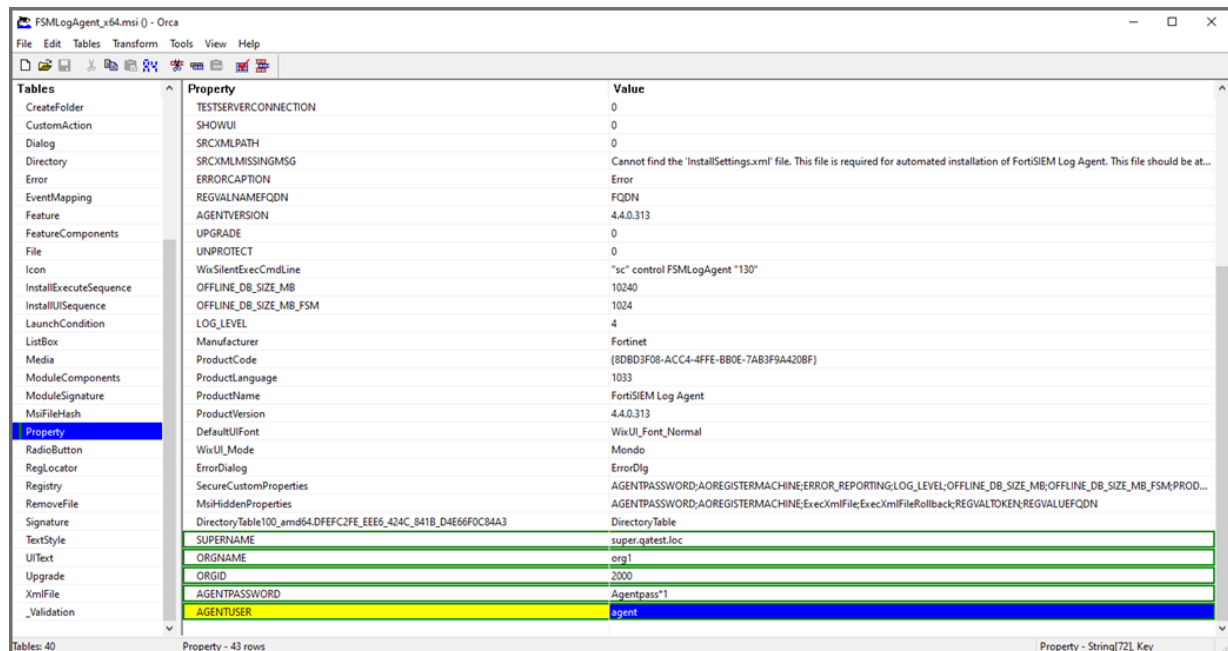
Property	Example	Description
SUPERNAME	192.0.20.1	Super IP or Hostname
AGENTUSER	agent	Agent user name with permission to register new agent
AGENTPASSWORD	Agentpass*1	Agent user password with permission to register new agent
ORGID	2000	The organization ID to register agent to
ORGNAME	ORG01	The organization name to register agent to

Adding Properties Screenshot Example:





## Required Properties Screenshot Example:



- Once all required properties are added, select Transform > Generate Transform.
- Save the newly generated transforms file to your required location.
- Once generated, close the MSI you are editing by clicking File > Close.
- Repeat the process for both x64 and x86 MSI files.

The generated transforms file can then be used to create a software package, using Active Directory GPO, see [Installing Windows Agent 4.2.x and Later via GPO](#).

## Installing Windows Agent 4.0.0 to 4.1.x

In these versions, the Agent configuration parameters have to be entered into an `InstallSettings.xml` file. The Agent Setup GUI is only available from 4.2.0 onwards. Also, the FortiSIEM LogAgent Service cannot be stopped.

Follow the steps below to install Windows Agent:

- Log in to the Windows machine where Windows Agent will be installed.
- Copy Windows Agent 4.0.x or 4.1.x binaries: `FSMLogAgent-v4.0.x.exe` or `FSMLogAgent-v4.1.x.exe` and `InstallSettings.xml` to the same folder.
- Obtain the Organization ID, Organization Name and Agent registration credentials.
  - When using the multi-tenant version of FortiSIEM, follow these substeps to find these items:
    - Log in to FortiSIEM in Super Global mode as Admin user.
    - Go to **ADMIN > Setup > Organizations** and locate the Organization (ID, Name) to which this Agent belongs. If not present, create an Organization.
    - Locate the Agent Registration User and Password for the Organization. If not present, define them.
  - When using the Enterprise version of FortiSIEM, use "1" for the Organization ID and "super" for the Organization Name.
- Download the [InstallSettings.xml](#) file, and edit the fields for your environment.
  - Use your favorite text editor to create an XML file named `InstallSettings.xml` in the same folder where you copied the Windows Agent binaries. Use the following code as a template.

- b. Provide the values for the Organization name (ORG\_NAME), the Agent Registration User name (AGENT\_USER), and Password (AGENT\_PASSWORD) from step 3. Make sure that AGENT\_PASSWORD is enclosed within a CDATA block as in the sample [InstallSettings.xml](#) file. This enables the AGENT\_PASSWORD to contain non-ASCII characters like "&", "<", ">", "!", "#", etc... Make sure that there are no leading and trailing white spaces between CDATA[ and ] ].

For example, `<Password><![CDATA[ myPassword ]]></Password>` is not acceptable.

It would need to be changed to `<Password><![CDATA[myPassword]]></Password>`.

**Note:** When viewing the [InstallSettings.xml](#) file through a web browser, extraneous space characters may appear. Fortinet recommends saving the [InstallSettings.xml](#) file, then viewing it through a proper XML editor.

- c. It is recommended that you specify the Agent Host name in the `<HostName>AGENT_HOST_NAME</HostName>` tag. This will be the device name in the FortiSIEM CMDB. If this attribute is not specified, then the agent will pick up the NetBios Name, which will also be the device name in CMDB.

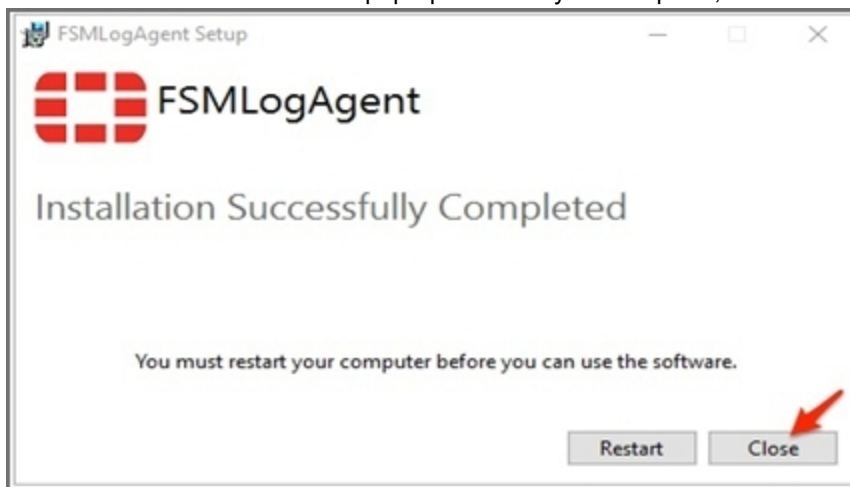
5. Install the Agent:

Choose one of options listed to install your Windows Agent.

a. **Option 1: Install via Windows File Explorer**

- Log in to the Windows machine as Administrator.
- Ensure that the `FSMLogAgent-v4.0.x.exe` or `FSMLogAgent-v4.1.x.exe` in step 2 and `InstallSettings.xml` in step 4 are in the same folder (example: copy to `c:\Temp\`).
- Double-click the `FSMLogAgent-v4.0.x.exe` or `FSMLogAgent-v4.1.x.exe` package and the installation process will start. If any settings errors are detected, the install process will fail, otherwise it will succeed. The Agent will register to the Supervisor and start running.

**Note:** If the installation returns a pop-up to restart your computer, click **Close**.



b. **Option 2: Install via Command Line**

- Log in to the Windows machine as Administrator.
- Ensure that the `FSMLogAgent-v4.0.x.exe` or `FSMLogAgent-v4.1.x.exe` in step 2 and `InstallSettings.xml` in step 4 are in the same folder (example: copy to `c:\Temp\`).
- Launch **Command Prompt**, go to the Installation packages saved location, and run `FSMLogAgent-v4.x.x-mmddyyyy.exe` with the `/norestart` option.

For example, `C:\Temp\FSMLogAgent-v4.1.0-03052021.exe /norestart`

The installation process will start. If any settings errors are detected, the install process will fail, otherwise it will succeed. The Agent will register to the Supervisor and start running.

6. Check **CMDB** for successful registration:

- Log in to FortiSIEM in Super Global mode as Admin user.
- Go to **CMDB** and search for the Agent Host name.

- c. Check the **Status** column.
7. Make sure the Templates and Host to Template association policies are defined for this Host:
  - a. Log in to FortiSIEM in Super Global mode.
  - b. Go to **ADMIN > Setup > Windows Agent** and make sure the templates and host to template associations are defined.  
One of the host-to-template association policies must match this agent. The first matched policy will be selected.

## Installing Windows Agent Without Supervisor Communication

In typical installations, FortiSIEM Agents register to the Supervisor node, but send the events by using the Collector. In many MSSP situations, customers do not want Agents to directly communicate with the Supervisor node. This requirement can be satisfied by setting up the Collector as an HTTPS proxy between the Agent and the Supervisor. This section describes the required configurations.

- [Step 1: Setup the Collector as an HTTPS Proxy](#)
- [Step 2: Install Agents to Work with the Collector](#)

### Step 1: Setup the Collector as an HTTPS Proxy

Follow these steps to setup the Collector as an HTTPS proxy:

1. Log in to the Collector.
2. Go to `/etc/httpd/conf.d`.
3. Create the configuration file `agent-proxy.conf` with the content [here](#).

`agent-proxy.conf` Content

```
ProxyPass /phoenix/rest/register/windowsAgent https://{actual IP address of the  
Supervisor node}/phoenix/rest/register/windowsAgent  
ProxyPassReverse /phoenix/rest/register/windowsAgent https://{actual IP address of the  
Supervisor node}/phoenix/rest/register/windowsAgent  
ProxyPass /phoenix/rest/windowsAgent/update https://{actual IP address of the Supervisor  
node}/phoenix/rest/windowsAgent/update  
ProxyPassReverse /phoenix/rest/windowsAgent/update https://{actual IP address of the  
Supervisor node}/phoenix/rest/windowsAgent/update
```

```
SSLProxyEngine on
```

```
SSLProxyVerify none  
SSLProxyCheckPeerCN off  
SSLProxyCheckPeerExpire off
```

4. In order to upgrade Windows Agent on 6.4.0+ while utilizing the Collector as a proxy, the following [Windows Agent Upgrade Proxy Configuration](#) is required to allow the Windows Agent to download the necessary files for the upgrade.

Add this to `agent-proxy.conf`.

Windows Agent Upgrade Proxy Configuration

```
ProxyPass /WinAgentUpgrade/FSMLogAgent.exe https://{SUPERVISOR  
IP}/WinAgentUpgrade/FSMLogAgent.exe
```

---

```
ProxyPassReverse /WinAgentUpgrade/FSMLogAgent.exe https://{SUPERVISOR  
IP}/WinAgentUpgrade/FSMLogAgent.exe
```

```
ProxyPass /WinAgentUpgrade/AutoUpdate.exe https://{SUPERVISOR  
IP}/WinAgentUpgrade/AutoUpdate.exe  
ProxyPassReverse /WinAgentUpgrade/AutoUpdate.exe https://{SUPERVISOR  
IP}/WinAgentUpgrade/AutoUpdate.exe
```

5. Restart httpd, for example: `service httpd restart`.

## Step 2: Install Agents to Work with the Collector

Follow these steps to install the Windows Agents to work with the Collector.

1. If you already have agents registered with the Supervisor, then uninstall them.
2. Re-install the Windows Agents, following the instructions [here](#). During installation, set the Supervisor IP to the IP address of the Collector node.

## Upgrading Windows Agent

- Upgrade from Version 4.2.x or Later
  - [Upgrade via Agent Setup GUI](#)
  - [Upgrade via Command Line](#)
  - [Upgrade from Supervisor](#)
- Upgrade from Versions 4.0.0 to 4.1.x
  - [Upgrade via Windows File Explorer](#)
  - [Upgrade via Command Line](#)
- [Verify Agent Version and Template Associations](#)

## Upgrading from Windows Agent Version 4.2.x and Later

If you are running Agent 4.2.0 or later, then you can upgrade in one of the following 3 ways.

Upgrade in one of three ways.

- [Upgrade from Supervisor](#)
- [Upgrade via Agent Setup GUI](#)
- [Upgrade via Command Line](#)

The first method needs you to upgrade Agents remotely via Supervisor. Unlike the last two methods, no local access to Windows Server is required. However, the Supervisor method needs Supervisor access to FortiGuard Data Services (`update.fortinet.net`) on port 443.

## Upgrade from Supervisor

Navigate to **ADMIN > Settings > System > Image Server** and follow the instructions in [Upgrading Windows Agent](#) from the Online Help.

**Note:** Upgrade from FortiSIEM Supervisor Install requires FortiSIEM 6.4.0 or later, and FortiSIEM Windows Agent 4.2.0 or later.

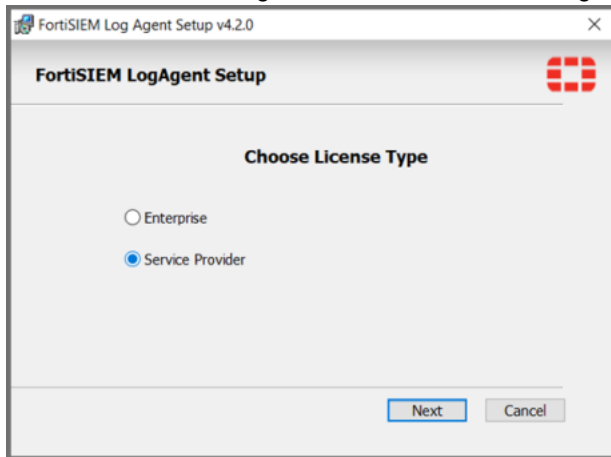
## Upgrade via Agent Setup GUI

With this option, you will be re-installing the new version on top of the older version using the Agent Setup GUI.

To upgrade through the graphical user interface (GUI), take the following steps.

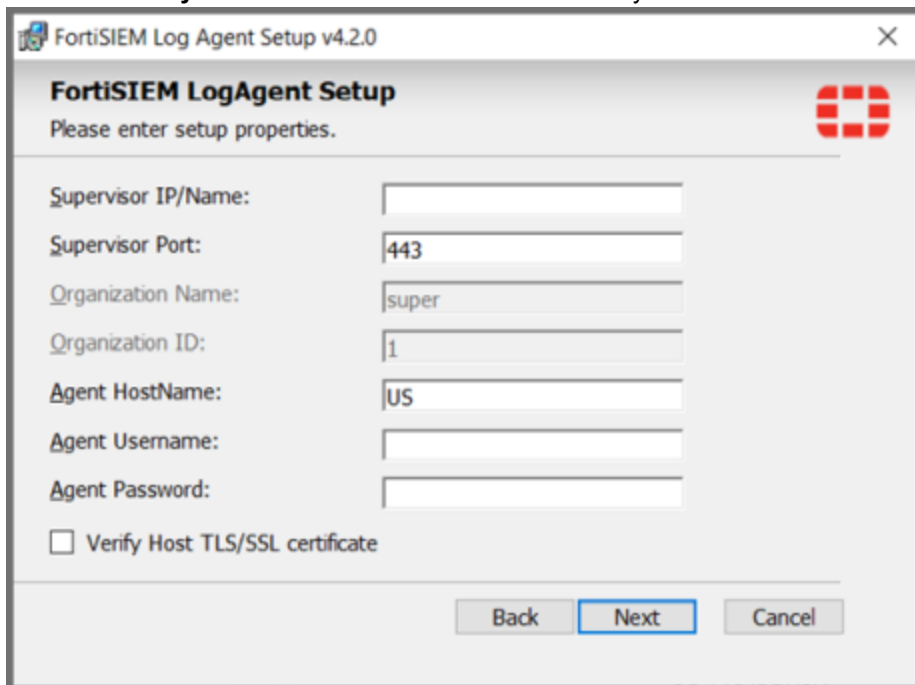
1. Log in to your Windows machine as an Administrator.
2. Ensure that the `FSMLogAgent-v4.2.x.exe`, `FSMLogAgent-v4.3.x.exe`, or `FSMLogAgent-v4.4.x.exe` file is in the same folder.
3. Double-click the `FSMLogAgent-v4.2.x.exe`, `FSMLogAgent-v4.3.x.exe`, or `FSMLogAgent-v4.4.x.exe` package and the installation process will start.
4. In the **Choose License Type** dialog box, select **Enterprise** or **Service Provider**, and click **Next**.

**Note:** With Windows Agent 4.4.0 and later, the dialog box is replaced with a **License Type** drop-down list.



5. In the **Supervisor IP/Name** field, enter the Supervisor IP address or hostname.
6. In the **Supervisor Port** field, enter the Supervisor port number. The default value is 443.
7. If applicable, in the **Organization Name** field, enter the organization name.  
**Note:** The field will be greyed out if it is not applicable.
8. If applicable, in the **Organization ID** field, enter the organization ID.  
**Note:** The field will be greyed out if it is not applicable.
9. In the **Agent HostName** field, enter the agent hostname.
10. In the **Agent Username** field, enter the agent username to access the Windows Agent.  
**Note:** The agent username cannot contain special characters: `!#%&^\;:<>=?[]{}^|~`
11. In the **Agent Password** field, enter the password associated with the agent username entered earlier.  
**Note:** The password must be between 8-64 characters, with at least 1 letter, 1 number and 1 special character (e.g. `$*&%`).

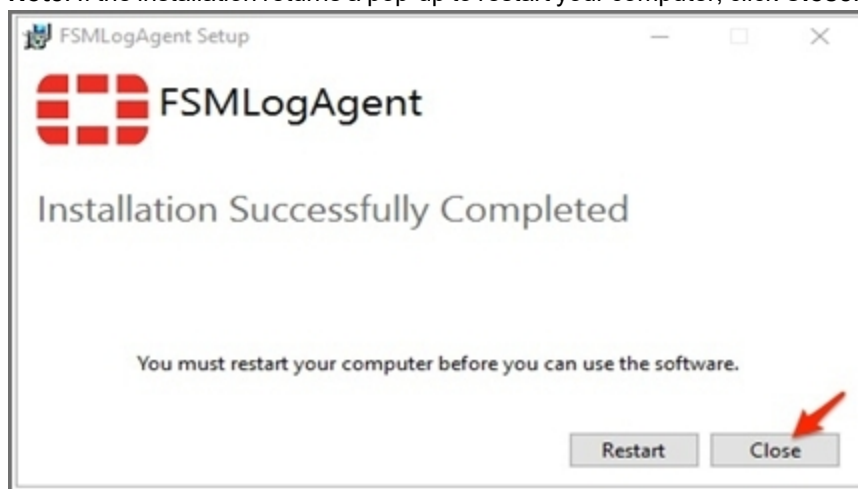
12. Check the **Verify Host TLS/SSL certificate** checkbox if you wish to confirm the Host TLS/SSL certificate.

The image shows the 'FortiSIEM Log Agent Setup v4.2.0' window. It has a title bar with a close button. The main area is titled 'FortiSIEM LogAgent Setup' with a subtitle 'Please enter setup properties.' and a FortiSIEM logo. Below this are several input fields: 'Supervisor IP/Name:', 'Supervisor Port:' (containing '443'), 'Organization Name:' (containing 'super'), 'Organization ID:' (containing '1'), 'Agent HostName:' (containing 'US'), 'Agent Username:', and 'Agent Password:'. At the bottom left is a checkbox labeled 'Verify Host TLS/SSL certificate'. At the bottom right are three buttons: 'Back', 'Next' (highlighted with a blue border), and 'Cancel'.

13. Click **Next** to proceed with installation.

If any settings errors are detected, a dialog box will instruct you on the field that needs to be re-entered. When all fields are valid, the installation will start. After a successful installation, the Agent will register to the Supervisor and start running.

**Note:** If the installation returns a pop-up to restart your computer, click **Close**.



14. Proceed to [Verify Agent Version and Template Associations](#).

## Upgrade via Command Line

With this option, you will be re-installing the new version on top of the older version using command line. The agent configuration parameters are provided in command line arguments.

To upgrade through the command line interface (CLI), take the following steps.

1. Log in to the Windows machine as an Administrator.
2. Ensure that the `FSMLogAgent-v4.2.x.exe`, `FSMLogAgent-v4.3.x.exe`, or `FSMLogAgent-v4.4.x.exe` file is in the same folder.
3. Launch **Command Prompt**.
4. Go to the directory where the Installation packages were saved.
5. Run

```
FSMLogAgent.exe SUPERNAME="<Supervisor IP Address or Hostname>"  
SUPERPORT="<Supervisor port #>" ORGNAME="<Organization name>" ORGID="<Organization ID>" AGENTUSER="<Agent username>" AGENTPASSWORD="<Agent password>"  
HOSTNAME="<Hostname of the Agent, Leave blank to use the default name>"  
SSLCERT="<Use '1' to Verify Host TLS/SSL certificate, don't use this parameter if you don't need verify Host TLS/SSL certificate>"
```

**Example:**

```
C:\Temp\FSMLogAgent.exe SUPERNAME="192.0.20.0" SUPERPORT="443" ORGNAME="org2"  
ORGID="2001" AGENTUSER="agent" AGENTPASSWORD="agentpass*1" HOSTNAME="examplehost"
```

To run in silent mode, add `/quiet` to the end of the installation command.

**Example:**

```
C:\Temp\FSMLogAgent.exe SUPERNAME="192.0.2.0" SUPERPORT="443" ORGNAME="org2"  
ORGID="2001" AGENTUSER="agent" AGENTPASSWORD="agentpass*1" HOSTNAME="examplehost"  
SSLCERT="1" /quiet
```

The installation process will start. If any settings errors are detected, the install process will fail, otherwise it will succeed. The Agent will register to the Supervisor and start running.

For more information on special characters, see [Using Special Characters in Password when Registering via CLI](#).

For more information on how to install with the ability to stop service, see [Installing with the Ability to Stop Agent Service](#).

**Note:** This requires Agent 4.2.3 or later.

6. Proceed to [Verify Agent Version and Template Associations](#).

## Upgrading from Windows Agent 4.0.0 to 4.1.x

Upgrade can be done in one of two ways.

- [Upgrade via Windows File Explorer](#)
- [Upgrade via Command Line](#)

These methods both require you to login to the Windows Server. Once you are on Version 4.2.0 or later, you can upgrade remotely via the Supervisor.

### Upgrade via Windows File Explorer

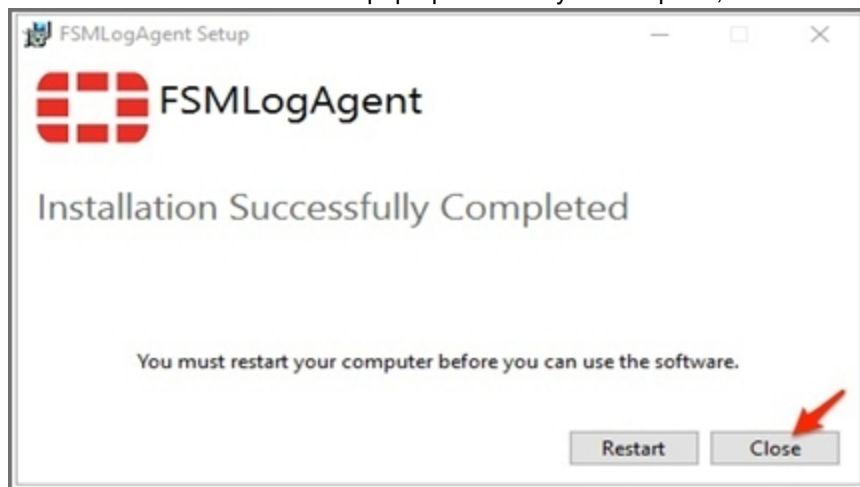
With this option, you will be re-installing the new version on top of the older version using Windows File Explorer.

To upgrade through the graphical user interface (GUI), take the following steps.

1. Log in to your Windows machine as an administrator.
2. Ensure that the `FSMLogAgent-v4.0.x.exe` or `FSMLogAgent-v4.1.x.exe` and `InstallSettings.xml` files are in the same folder.

Double-click the `FSMLogAgent-v4.0.x.exe` or `FSMLogAgent-v4.1.x.exe` package and the installation process will start. If any settings errors are detected, the install process will fail, otherwise it will succeed. The Agent will register to the Supervisor and start running.

3. **Note:** If the installation returns a pop-up to restart your computer, click **Close**.



4. Proceed to [Verify Agent Version and Template Associations](#).

## Upgrade via Command Line

With this option, you will be re-installing the new version on top of the older version using command line. The agent configuration parameters are provided in command line arguments.

To upgrade through the command line interface (CLI), take the following steps.

1. Log in to the Windows machine as an administrator.
2. Ensure that the `FSMLogAgent-v4.0.x.exe` or `FSMLogAgent-v4.1.x.exe` and `InstallSettings.xml` files are in the same folder.
3. Launch **Command Prompt**.
4. Go to the directory where the Installation packages were saved.
5. Run `FSMLogAgent-v4.0.x-mmddyyyy.exe` or `FSMLogAgent-v4.1.x-mmddyyyy.exe` with the `/norestart` option.

Example: `C:\Temp\FSMLogAgent-v4.1.0-03052021.exe /norestart`

The installation process will start. If any settings errors are detected, the install process will fail, otherwise it will succeed. The Agent will register to the Supervisor and start running.

6. Proceed to [Verify Agent Version and Template Associations](#).

## Verify Agent Version and Template Associations

You will need to navigate to CMDB to check the status and version of your Windows agent. Take the following steps.



- 
1. Log in to FortiSIEM in Super Global mode as an admin user.
  2. Navigate to **CMDB > Devices**.
  3. In the **Search...** field, enter your Agent Host name to locate your agent.
  4. Check the **Agent Version** column for your Agent and confirm that the version is the upgraded version.
  5. Check the **Status** column to see the Agent status. The status should update to "Running Active" after a few minutes.
  6. Navigate to **ADMIN > Setup > Windows Agent**.
  7. Under **Host To Template Associations**, select an existing configuration and confirm it is still defined.

## Managing Windows Agent

### Agent Service

When the Windows Agent is running, the FSMLogAgent is shown as part of your services on your Windows machine. The ability to Start, Stop, Pause, or Resume this service is disabled. This is intentional, to provide service level protection. An option is available starting with Windows Agent 4.2.3 to stop Windows Agent. See [Installing with the Ability to Stop Agent Service](#).

### Auto Restart Service Behavior

In the event of a Windows Agent crash, Windows Agent will automatically restart itself after 60 seconds has passed.

It is possible to terminate the FSMLogAgent process via the Windows Task Manager. This action will cause Windows Agent to restart automatically.

## Configuring Windows Servers for FortiSIEM Agents

- [Configuring Windows Sysmon](#)
- [Configuring Windows DNS](#)
- [Configuring Windows DHCP](#)
- [Configuring Windows IIS](#)
- [Configuring DNS Analytical Logs](#)
- [Configuring Generic Binary Logs](#)
- [Configuring Event Forwarding](#)
- [Configuring Auditing Policies](#)
- [Enabling FIPS](#)

## Configuring Windows Sysmon

The supported Sysmon versions are 5.02 and above. The latest Sysmon download instructions are available [here](#).

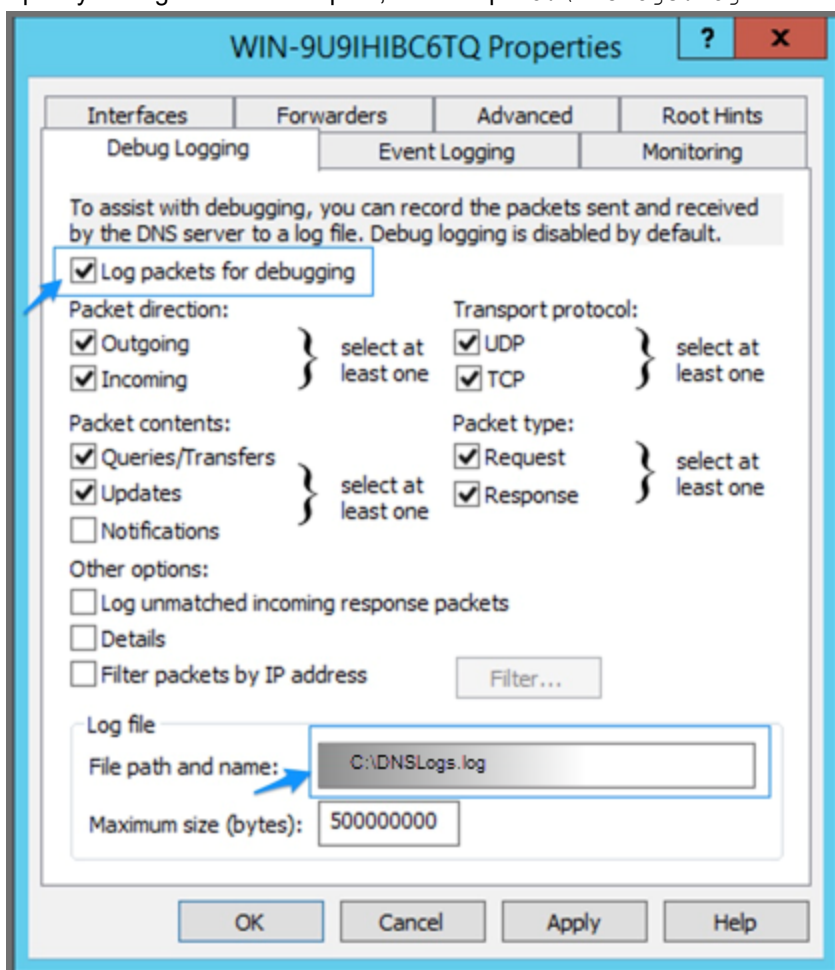
- 
1. Log in to the Windows machine.
  2. Download the popular Sysmon configuration file and save it as <https://github.com/SwiftOnSecurity/sysmon-config/blob/master/sysmonconfig-export.xml>
  3. Save the configuration file as `sysmonconfig.xml`
  4. Check whether the Sysmon executable is installed or not by running: `Sysmon64.exe -c`
    - a. If Sysmon is running, update the Sysmon configuration by using the command with administrator rights:  
`sysmon.exe -c sysmonconfig.xml`
    - b. If Sysmon is not available on the system, download and install using the command with administrator rights:  
`sysmon.exe -accepteula -i sysmonconfig.xml`
  5. Check the new configuration using the command: `Sysmon64.exe -c`
  6. Check for Sysmon events:
    - a. Go to **EventViewer > Applications and Service Logs > Microsoft > Windows > Sysmon > Operational**.
    - b. Check for Sysmon logs on the right panel.
    - c. Right-click on **Operational** and choose **Properties**.
    - d. Note the **Full Name** (typically 'Microsoft-Windows-Sysmon/Operational') for FortiSIEM configuration.

## Configuring Windows DNS

Follow the steps below to configure DNS server:

1. Log in to the Windows machine.
2. Configure DNS logging:
  - a. Launch **DNS Manager**.
  - b. Select the specific DNS Server and click **Properties**.
  - c. On **Debug Logging** tab, enable **Log packets for debugging**.

- d. Specify the log file name and path, for example `C:\DNSLogs.log`.



3. Check for DNS logs. If logs are present, FortiSIEM Agent will automatically collect these logs.
- Go to **EventViewer > Applications** and **Service Logs > DNS Server**.
  - Check for DNS logs on the right panel.

## Configuring Windows DHCP

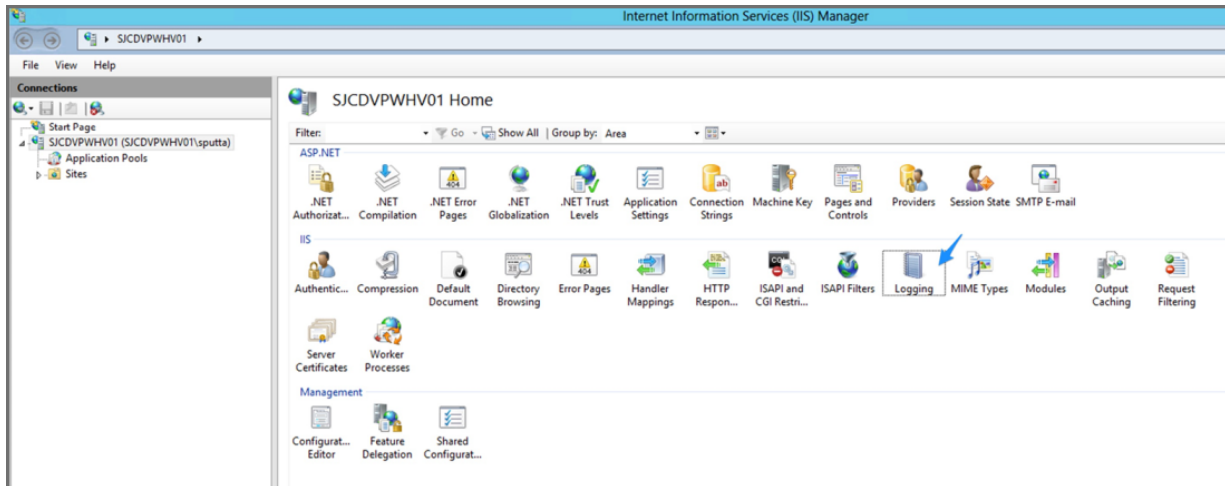
Follow the steps below to configure DHCP server:

- Log in to the Windows machine.
- Configure DHCP logging:
  - Launch **DHCP Manager**.
  - Select the specific DHCP Server and click **IPv4 > Properties**.
  - Enable **DHCP Audit Logging**.
- Check for DHCP events. If logs are present, FortiSIEM Agent will automatically collect these logs:
  - Go to **EventViewer > Applications** and **Service Logs > Microsoft > Windows > DHCP Server**.
  - Check for DHCP logs on the right panel.

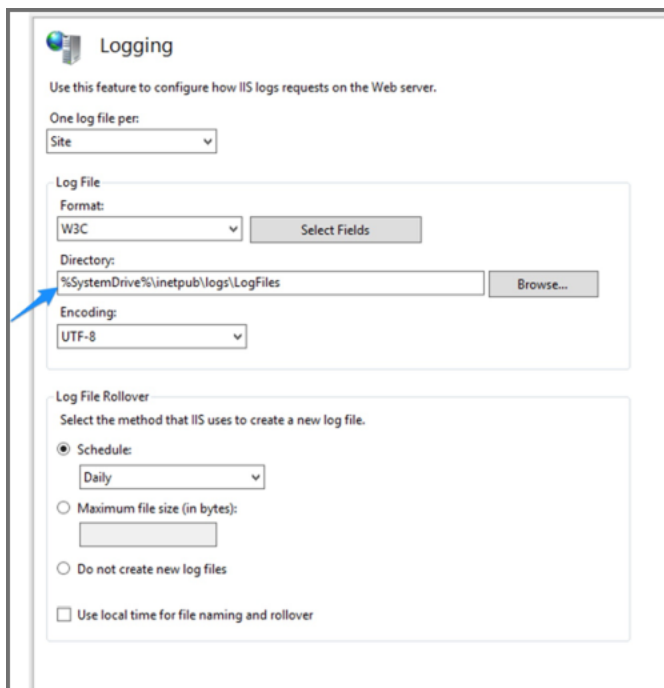
## Configuring Windows IIS

Follow these steps to configure the IIS Server:

1. Log in to the Windows machine.
2. Configure IIS logging:
  - a. Launch **IIS Manager**.
    - From the **Start** menu, click **Programs** or **All Programs**, and point to **Administrative Tools**.
    - On **Administrative Tools**, Click **Internet Information Services (IIS) Manager**.
  - b. Select the specific **IIS Server** and click the **Logging** icon on the panel on the right side.



- c. Specify the log path if default path (%SystemDrive%\inetpub\logs\LogFiles) does not exist.



- 
3. Check for IIS events. If logs are present, FortiSIEM Agent will automatically collect these logs:
    - a. Go to IIS logs default path, example: `C:\inetpub\logs\LogFiles\`.
    - b. Check for IIS traffic logs.

## Configuring DNS Analytical Logs

Microsoft recommends that customers enable DNS Analytical logs only to debug DNS traffic or to troubleshoot DNS server issues. Enabling DNS Analytical logs can cause system performance issues (see [Microsoft Logging and Diagnostics](#)).

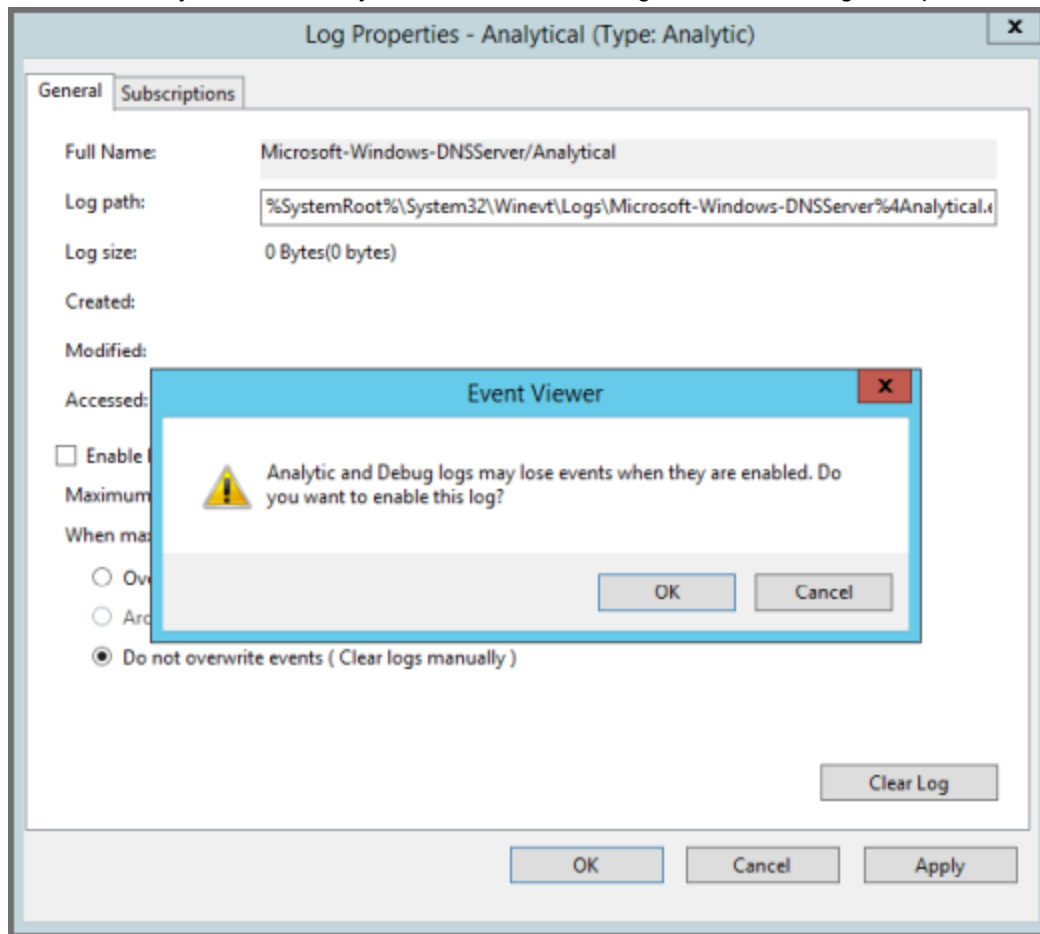
If the DNS server is running Windows Server 2012 R2, download the hotfix from <http://support.microsoft.com/kb/2956577>

You can find more information on this topic in [Enable Analytic and Debug Logs](#) in the Microsoft User Guide.

Follow these steps to configure FortiSIEM Windows Agent to collect DNS Analytical logs:

1. Enter `eventvwr.msc` at an elevated command prompt and press **Enter** to open the Event Viewer.
2. In the Event Viewer, navigate to **Applications and Services Logs\Microsoft\Windows\DNS-Server**.
3. Right-click **DNS-Server**, point to **View**, and click **Show Analytic and Debug Logs**. The **Analytical** log is displayed.
4. Right-click **Analytical** and then click **Properties**.
5. Under **When maximum event log size is reached**, choose **Do not overwrite events (Clear logs manually)**.
6. Select the **Enable logging** checkbox.

7. Click **OK** when you are asked if you want to enable this log. See the following example.



8. Click **OK** again to enable the DNS Server Analytic event log.
9. Note the **Full Name** value in the screenshot in [Step 7](#): **Microsoft-Windows-DNSServer/Analytical**. This name must be entered in FortiSIEM.

## Configuring Generic Binary Logs

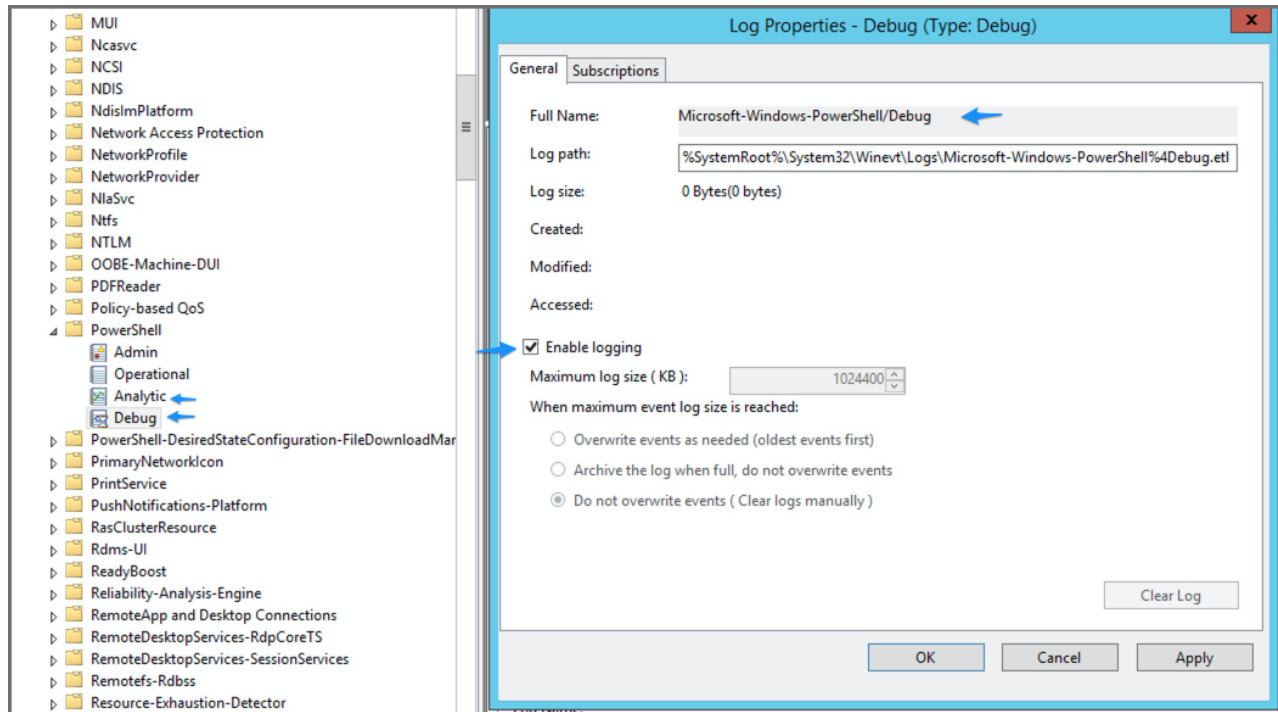
Analytic and Debug logs are disabled by default, because these logs can quickly fill the disk with a large number of entries.

For this reason, you will probably want to turn them on for a specified period to gather some troubleshooting data and then turn them off again.

Follow these steps to configure FortiSIEM Windows Agent to collect Generic Binary logs:

1. Enter `eventvwr.msc` at an elevated command prompt and press **Enter** to open the Event Viewer.
2. In the Event Viewer, navigate to **Applications and Services Logs > Microsoft > Windows >**, then select an **Application** that needs to capture Analytic/Debug logs.
3. Right-click **Application**, point to **View**, and click **Show Analytic and Debug Logs**. The **Analytic/Debug/Diagnostic** log is displayed.
4. Right-click **Analytic/Debug/Diagnostic** and then click **Properties**.
5. Under **When maximum event log size is reached**, choose **Do not overwrite events (Clear logs manually)**.

6. Select the **Enable logging** checkbox, and click **OK** when you are asked if you want to enable this log. See the following example “PowerShell Debug logs”.



7. Click **OK** again to enable the **Application Analytic/Debug/Diagnostic** event log.
8. Note the **Full Name** in the screenshot in [Step 6](#): **Microsoft-Windows-PowerShell/Debug**. This name must be entered in FortiSIEM.

## Configuring Windows Event Forwarding

Using Windows Event Forwarding, it is possible for Windows Servers (called Event Source Computers) to forward events to a central Windows Server where FortiSIEM Windows Agent (called Event Collector Computer) is running. The Agent can then send to FortiSIEM Collector, Worker, and Supervisor nodes. This is an alternative to running FortiSIEM Agent on every Windows Server. The disadvantage of this approach is that only Windows (Security, application, and system) events can be collected in this way, while FortiSIEM native Agent can collect other information such as FIM, Custom log, Sysmon, etc. FortiSIEM can parse the forwarded Windows events so that the actual reporting Windows server is captured and all the attributes are parsed as sent by native agents.

- [Configuring Locale on Windows Servers](#)
- [Configuring Source-Initiated Subscription](#)

### Configuring Locale on Windows Servers

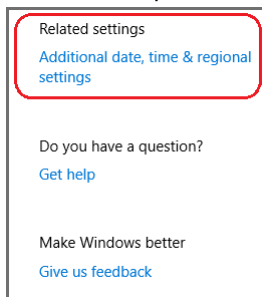
- [Configure Locale on Windows 10](#)
- [Configure Locale on Generic Servers](#)

#### Configure Locale on Windows 10

To set the locale of Collector machine to en-US:

1. Go to the **Windows Settings** page.
2. Go to **Time And Language**, and choose the **Language** option.
3. Change the **Windows Display Language** to **English (United States)**.

- 
4. Select the **Region** option on the left.
  5. Choose the option **Additional Date, time & regional settings** on the right side of the page.



6. Choose the option **Region** and open the **Administrative** tab.
7. Click the **Change system locale...** button and change the locale to **English (United States)** in the provided dialog box. Click **OK**.
8. In the **Administrative** tab, click the **Copy Settings...** button.
9. In that property page tab, select both check boxes: **Welcome screen and system accounts** and **New user accounts**. Click **OK**.



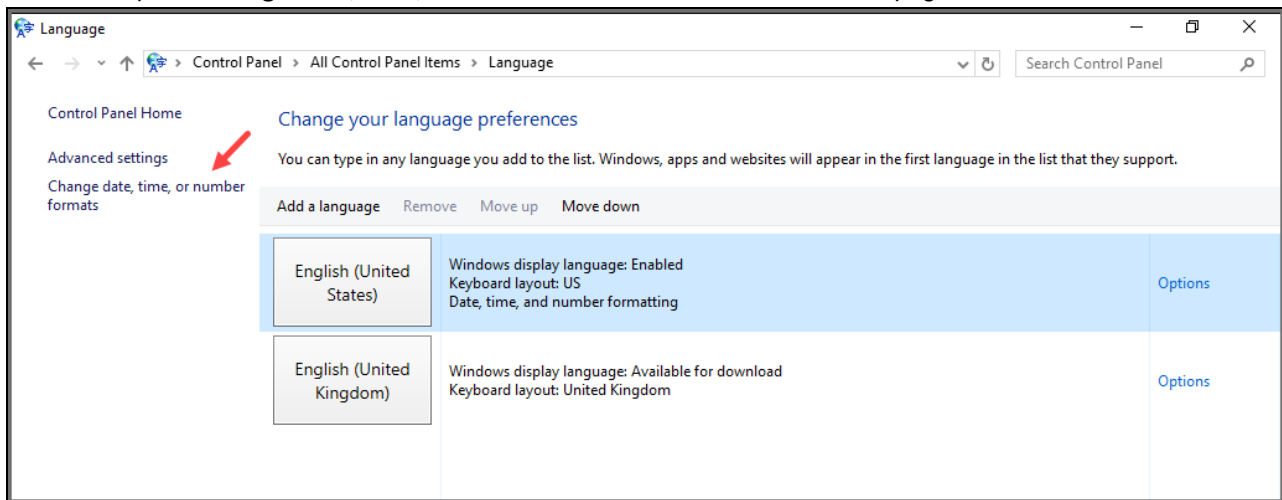


10. Restart your computer.

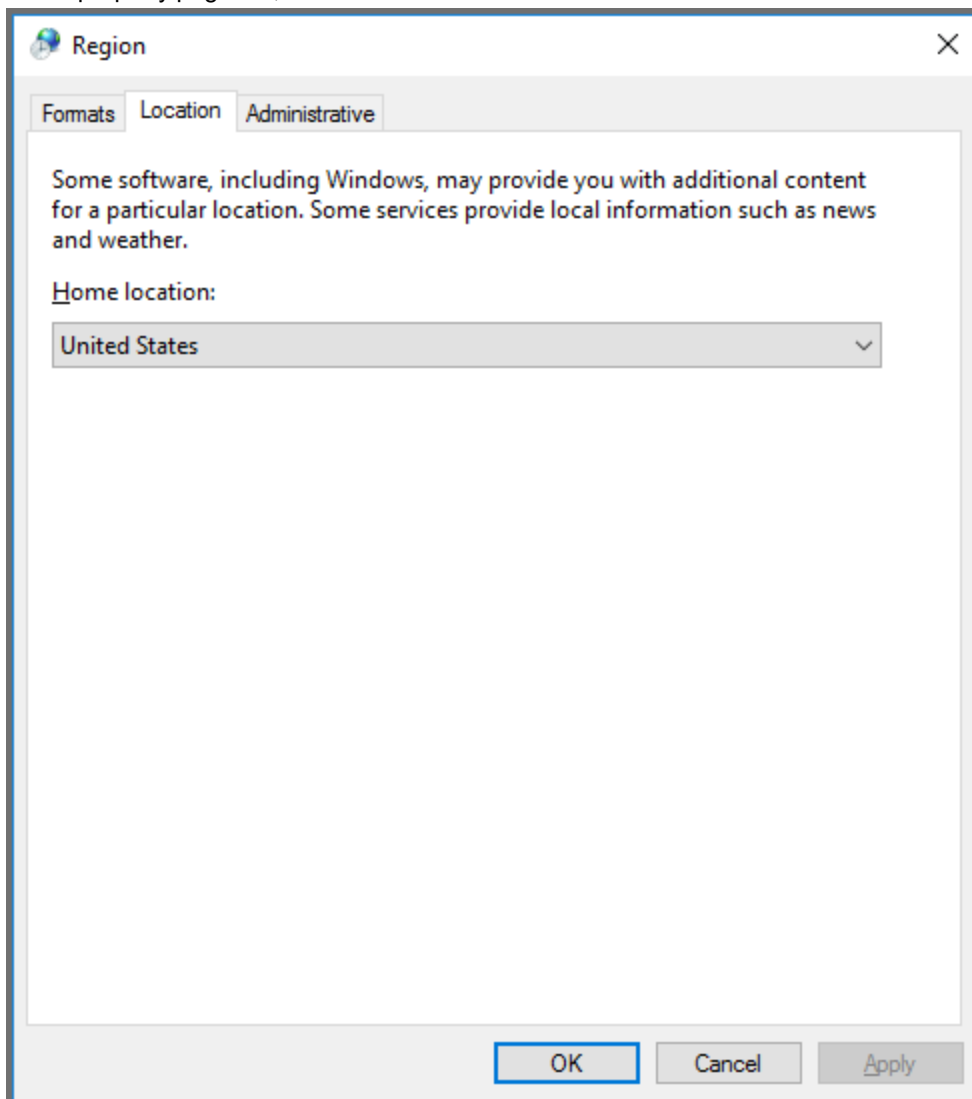
#### Configure Locale on Generic Servers

1. Go to the **Control Panel**.
2. Choose the **Language** option.
3. Select the language **English (United States)** and move it to top of the list.

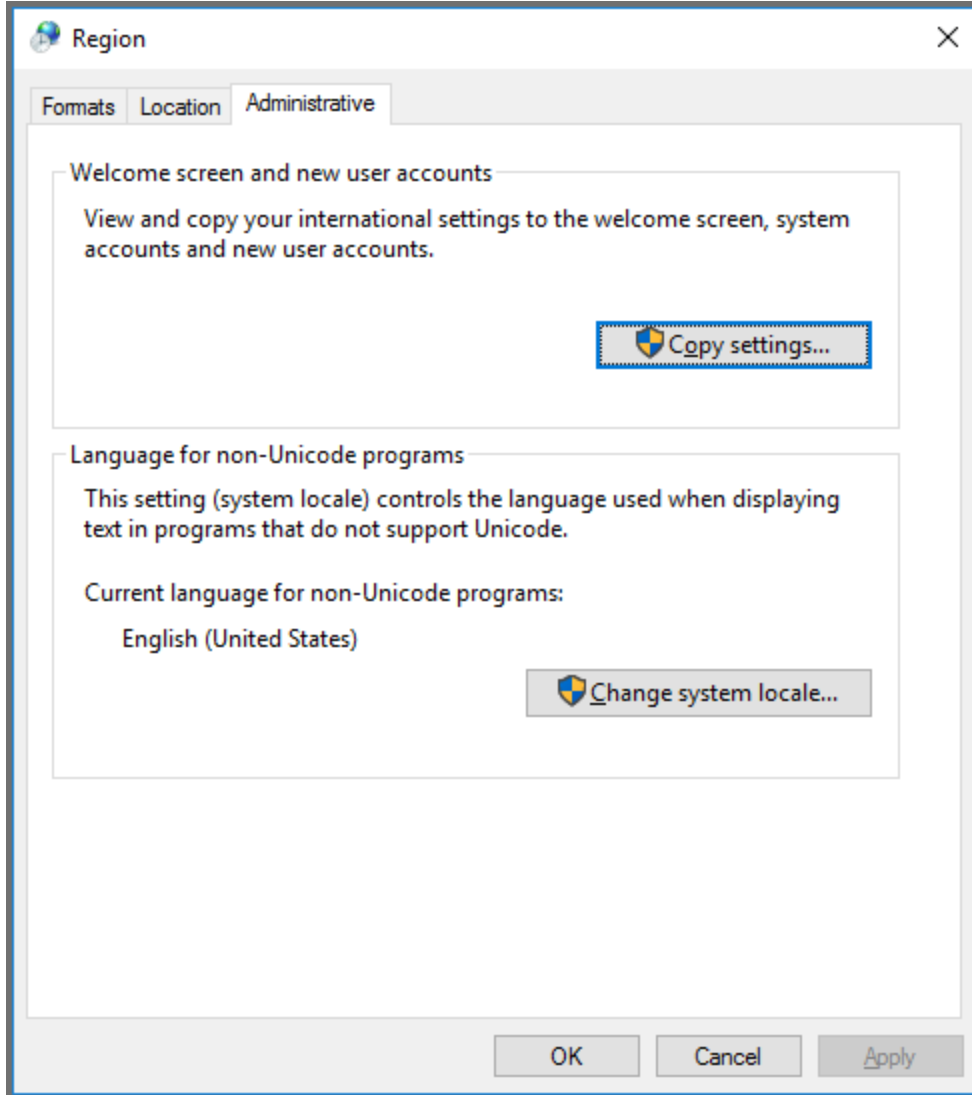
4. Select the option **Change date, time, or number formats** on the left side of the page.



5. In this property page tab, select the **Location** tab and choose the **Home Location** as **United States**. Click **Apply**.



6. Select the **Administrative** tab.
7. Click **Change system locale....** Change the locale to **English (United States)** in the provided dialog. Click **OK**.



8. In the **Administrative** tab, click **Copy Settings....**
9. In this property page tab, select both check boxes: **Welcome screen and system accounts** and **New user accounts**. Click **OK**.



10. Restart your computer.

## Configuring Source-Initiated Subscription

- [Configure the Event Collector Computer](#)
- [Configure the Event Source Computer](#)
- [Configure the Domain Controller or Source Computer](#)

### Configure the Event Collector Computer

You must complete the following steps on the Event Collector computer where the FSM Agent is installed:

1. Open a command prompt in an elevated privilege (for example, **Run as Administrator...**) and run this command to configure the Windows Remote Management (WinRM) service:

```
winrm qc -q
```

2. Run this command to configure the Windows Event Collector service:

```
wecutil qc /q
```

3. Copy and save the following XML in a file (Configuration.xml) and edit the values depending on your requirements or scenario.

The XML configuration will grant the Domain Computers and Network Service accounts as the local event forwarder for the source computers. The XML configuration will contain the language locale, which is same as the Collector computer's language locale.

```
<Subscription xmlns="http://schemas.microsoft.com/2006/03/windows/events/subscription">
  <SubscriptionId>FwdSubscription</SubscriptionId>
  <SubscriptionType>SourceInitiated</SubscriptionType>
  <Description>Source Initiated Subscription</Description>
  <Enabled>true</Enabled>
  <Uri>http://schemas.microsoft.com/wbem/wsman/1/windows/EventLog</Uri>
  <!-- Use Normal (default), Custom, MinLatency, MinBandwidth -->
  <ConfigurationMode>Custom</ConfigurationMode>
  <Delivery Mode="Push">
    <Batching>
      <MaxItems>1</MaxItems>
      <MaxLatencyTime>1000</MaxLatencyTime>
    </Batching>
    <PushSettings>
      <Heartbeat Interval="30000" />
    </PushSettings>
  </Delivery>
  <Expires>2025-01-01T00:00:00.000Z</Expires>
  <Query>
    <![CDATA[
      <QueryList>
        <Query Path="Security">
          <Select>*</Select>
        </Query>
      </QueryList>]]>
    </Query>
    <ReadExistingEvents>true</ReadExistingEvents>
    <TransportName>http</TransportName>
    <ContentFormat>RenderedText</ContentFormat>
    <Locale Language="en-US" />
    <LogFile>ForwardedEvents</LogFile>
    <AllowedSourceNonDomainComputers></AllowedSourceNonDomainComputers>
    <AllowedSourceDomainComputers>O:NSG:NSD: (A;;GA;;;DC)
      (A;;GA;;;NS)</AllowedSourceDomainComputers>
  </Subscription>
```

4. From the Command Prompt, enter the following command to create the subscription according to the specified XML configuration file:

```
wecutil cs Configuration.xml
```

5. From the Command Prompt, enter the following command to add an inbound and outbound exception in the firewall for port 5985 (http):

```
netsh advfirewall firewall add rule name="Winrm HTTP Remote Management" protocol=TCP
dir=in localport=5985 action=allow
```

```
netsh advfirewall firewall add rule name="Winrm HTTP Remote Management" protocol=TCP
dir=out remoteport=5985 action=allow
```

## Configure the Event Source Computer

---

You must complete these steps on the Event Source computer.

1. Open a Command Prompt in an elevated privilege (for example, **Run as Administrator...**) and run the following commands:

```
net localgroup "Event log readers" "NT Authority\Network Service" /add

net localgroup "Event log readers" "Domain Computers" /add

winrm qc -q
```

2. From the command prompt enter the following command to add an inbound and outbound exception in the firewall for port 5985 (http):

```
netsh advfirewall firewall add rule name="Winrm HTTP Remote Management" protocol=TCP
dir=in localport=5985 action=allow

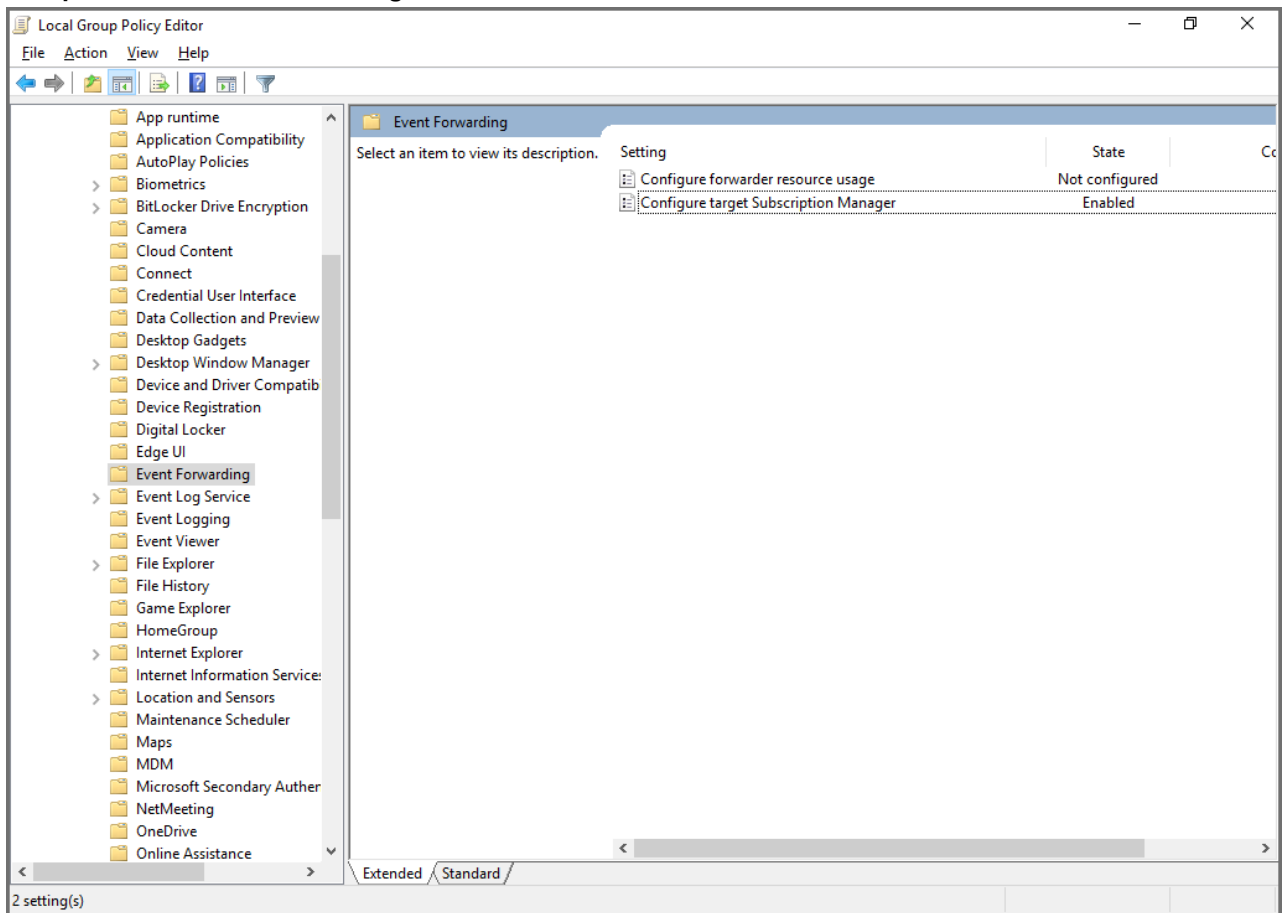
netsh advfirewall firewall add rule name="Winrm HTTP Remote Management" protocol=TCP
dir=out remoteport=5985 action=allow
```

### **Configure the Domain Controller or Source Computer**

The following policy changes must be performed on the Domain Controller (*for domain environments*) or Source Computers (*for non-domain environments*).

1. Run the local group policy editor (*for non-domain environments*) or the domain group policy editor (*for domain environments*).
2. Go to **Local Computer Policy > Computer Configuration > Administrative Templates > Windows**

## Components > Event Forwarding.



3. Open **Configure target Subscription Manager**.

Configure target Subscription Manager

Configure target Subscription Manager Previous Setting Next Setting

☐ Not Configured Comment:

☒ Enabled

☐ Disabled

Supported on: At least Windows Vista

Options: SubscriptionManagers Show...

Help: This policy setting allows you to configure the server address, refresh interval, and issuer certificate authority (CA) of a target Subscription Manager.

If you enable this policy setting, you can configure the Source Computer to contact a specific FQDN (Fully Qualified Domain Name) or IP Address and request subscription specifics.

Use the following syntax when using the HTTPS protocol:  
Server=https://<FQDN of the collector>:5986/wsman/SubscriptionManager/WEC,Refresh=<Refresh interval in seconds>,IssuerCA=<Thumb print of the client authentication certificate>. When using the HTTP protocol, use port 5985.

If you disable or do not configure this policy setting, the Event Collector computer will not be specified.

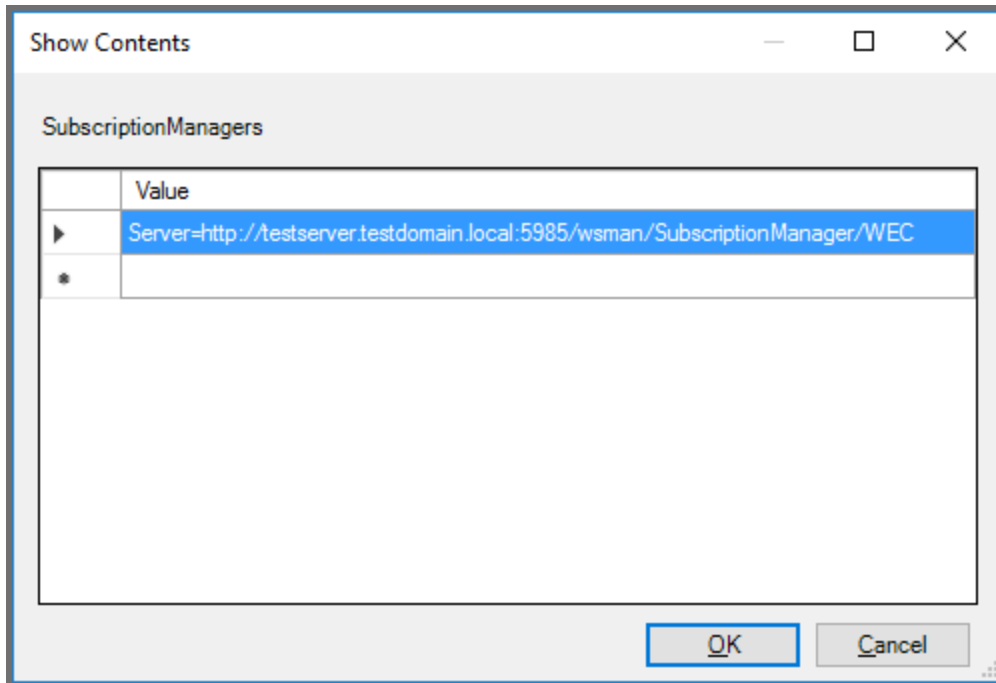
OK Cancel Apply

4. Choose the **Enabled** option.

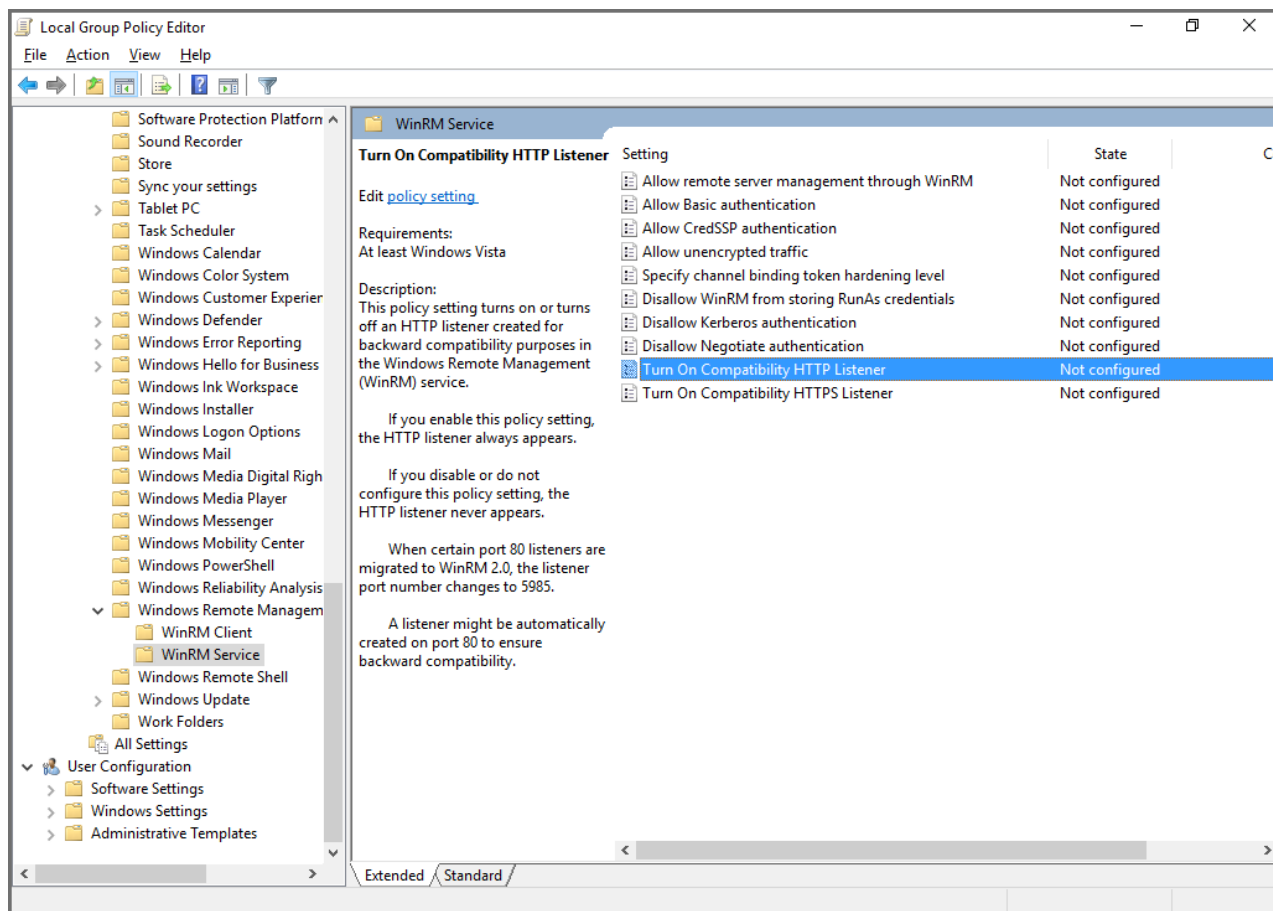
5. Click the **Show...** button beside **SubscriptionManagers**.

6. Add the value `Server=http://<Collector FQDN>:5985/wsman/SubscriptionManager/WEC` to the list and click **OK**.





7. In the **Configure target Subscription Manager** dialog box, click **Apply** and then **OK**.
8. Go to **Local Computer Policy > Computer Configuration > Administrative Templates > Windows Components > Windows Remote Management > WinRM Service**.



## 9. Open Turn On Compatibility HTTP Listener.

10. Choose the option **Enabled**.

Turn On Compatibility HTTP Listener

Turn On Compatibility HTTP Listener

Previous Setting Next Setting

☐ Not Configured Comment:

☒ Enabled

☐ Disabled

Supported on: At least Windows Vista

Options:

Help:

This policy setting turns on or turns off an HTTP listener created for backward compatibility purposes in the Windows Remote Management (WinRM) service.

If you enable this policy setting, the HTTP listener always appears.

If you disable or do not configure this policy setting, the HTTP listener never appears.

When certain port 80 listeners are migrated to WinRM 2.0, the listener port number changes to 5985.

A listener might be automatically created on port 80 to ensure backward compatibility.

OK Cancel Apply

11. Click **Apply** and then **OK**.
12. Close the group policy editor.
13. Start the Command Prompt in admin mode and run the following command:
- ```
gpupdate /force
```

## Configuring Auditing Policies

The following policy changes must be performed on the Domain Controller (for domain environments) or Source Computers (for non-domain environments).

- [Configure Security Audit Logging Policy](#)
- [Configure File Auditing Policy](#)

- [Configure Audit File System Policy](#)
- [Disable Audit Token Right Adjusted Success Events](#)

## Configure Security Audit Logging Policy

Configure this policy to control Windows logging. Because Windows generates many security logs, specify the categories of events that you want to be logged and available for monitoring by FortiSIEM.

1. Log in to the machine where you want to configure the policy as an administrator.
2. Go to **Programs > Administrative Tools > Local Security Policy**.
3. Expand **Local Policies** and select **Audit Policy**.  
You will see the current security audit settings.
4. Select a policy and edit the **Local Security Settings** for the events you want to be audited. The recommended settings are:

| Policy                                            | Description                                                                                                                                                                                                                                | Settings                                   |
|---------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|
| Audit account logon events and Audit logon events | For auditing log in activity.                                                                                                                                                                                                              | Select <b>Success</b> and <b>Failure</b> . |
| Audit object access events                        | For auditing access to files and folders. There is an additional configuration requirement for specifying which files and folders, users and user actions will be audited. See the next section, <i>Configuring File Auditing Policy</i> . | Select <b>Success</b> and <b>Failure</b> . |
| Audit system events                               | Includes system up/down messages.                                                                                                                                                                                                          |                                            |

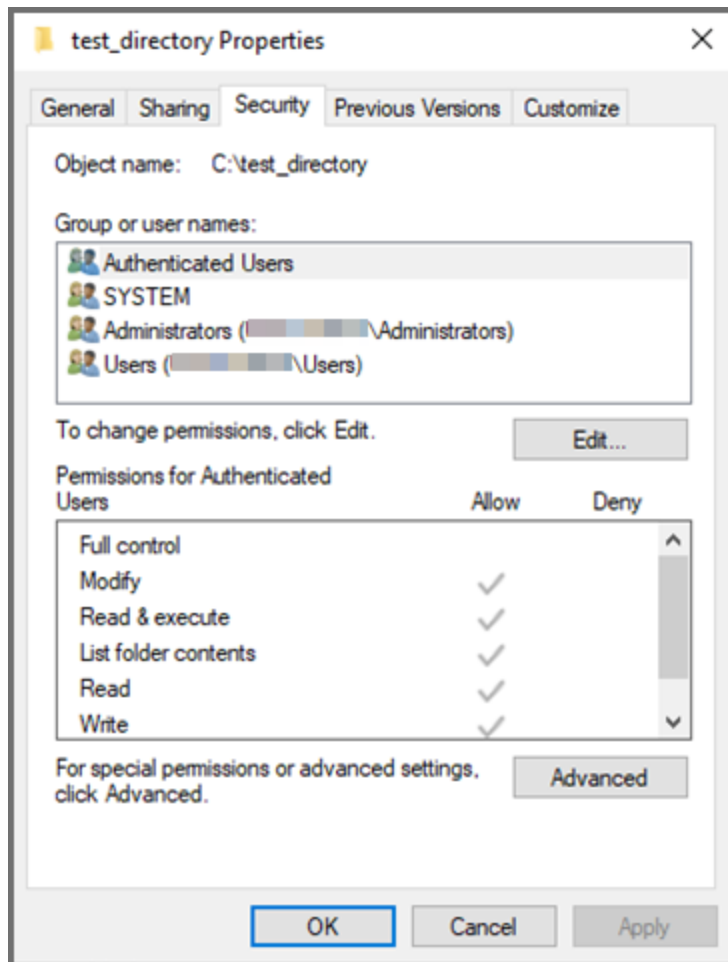
5. For an Enterprise Server's Domain Group Policy, make sure you set the following under **Group Policy > Local Policies > Audit Policy**:  
**Policy = Audit object access**  
**Security Setting = Success or Failure**

## Configure File Auditing Policy

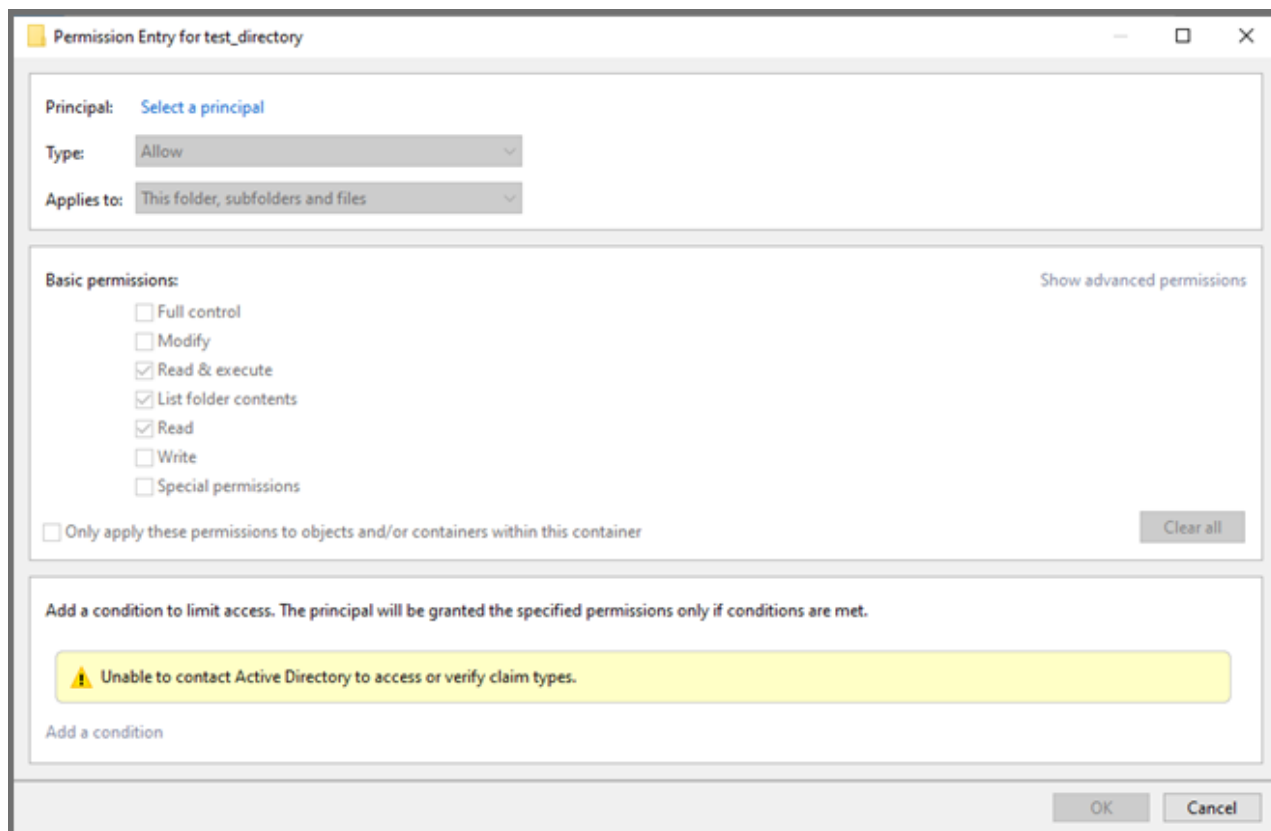
Configure this policy to see user meta data in file auditing events.

1. Log in to the machine where you want to set the policy with administrator privileges.  
On a domain computer, a Domain administrator account is needed.
2. Open Windows Explorer, select the file you want to set the auditing policy for, right-click on it, and select **Properties**. By default, the **General** tab will be shown. Select the **Security** tab to continue.

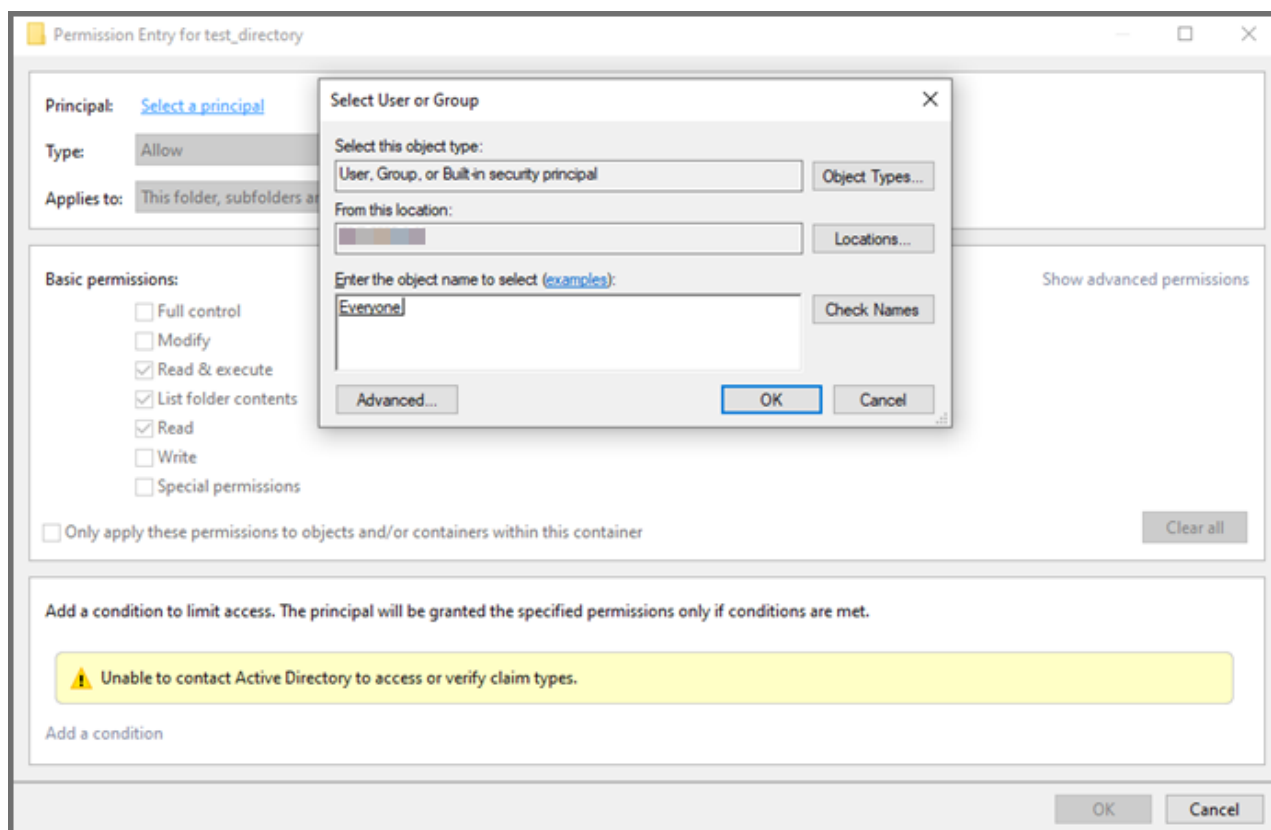
3. In the **Security** tab, click **Advanced**.



4. Select the **Auditing** tab, and click **Add**, then click **Select a principal**. This button is labeled **Edit** in Windows 2008.



5. In the **Select User or Group** dialog, click **Advanced**, and find and select the users, or groups, whose access to this file you want to monitor. If you want to audit all users access to the audited folder, select **Everyone** as shown below.



6. Click **OK** after adding the users.
7. In the **Permissions** tab, set the permissions for each user added.  
The configuration is now complete. Windows will generate audit events when the users or groups you specified take the actions specified on the files or folders for which you set the audit policies.

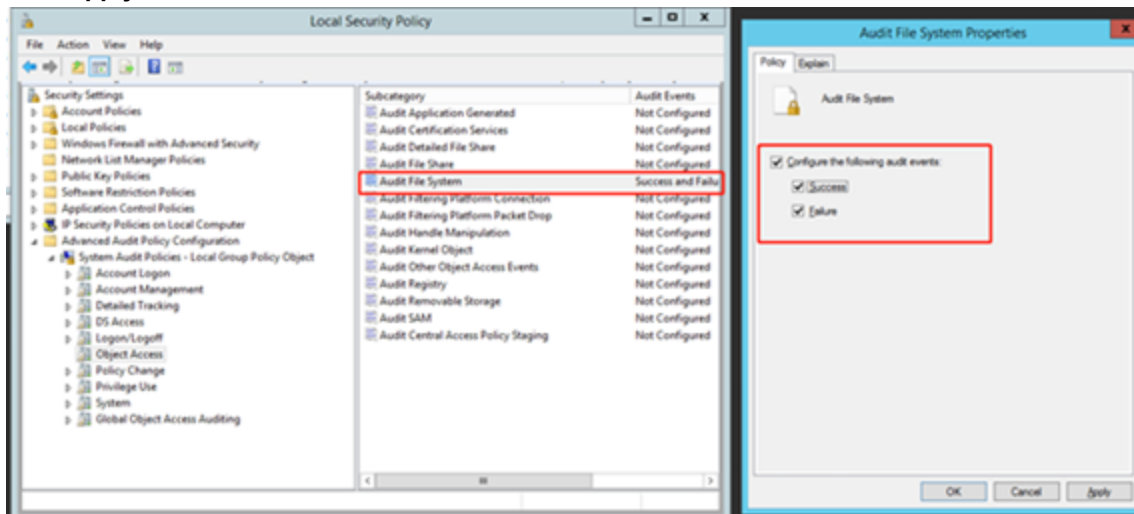
## Configure Audit File System Policy

Configure this policy to enable change events for permission and/or ownership changes to files and/or directories. The policy will also upload the monitored files to FortiSIEM. This feature is available in FortiSIEM Windows Agent 4.x.x.

Complete these steps to enable Audit File System policy:

1. Log in, with administrator privileges, to the machine where you want to set the policy.  
On a domain computer, you must have a Domain administrator account.
2. Go to **Programs > Administrative Tools > Local Security Policy**.
3. Expand the **Advanced Audit Policy Configuration** node.
4. Expand **System Audit Policies-Local Group Policy Object** node.  
You will see the current security audit settings.
5. Select **Object Access**.
6. Select **Audit File System** on the left side of the window.
7. Double-click **Audit File System**. In the pop-up window, select both **Success** and **Failure** under **Configure the following audit events**.

8. Click **Apply**, then **OK**.



The Audit File System Policy is now enabled. Reboot your system to apply the changes.

## Disable Audit Token Right Adjusted Success Events

As per Microsoft, it is recommended to Disable "Success" auditing for "Audit Token Right Adjusted".

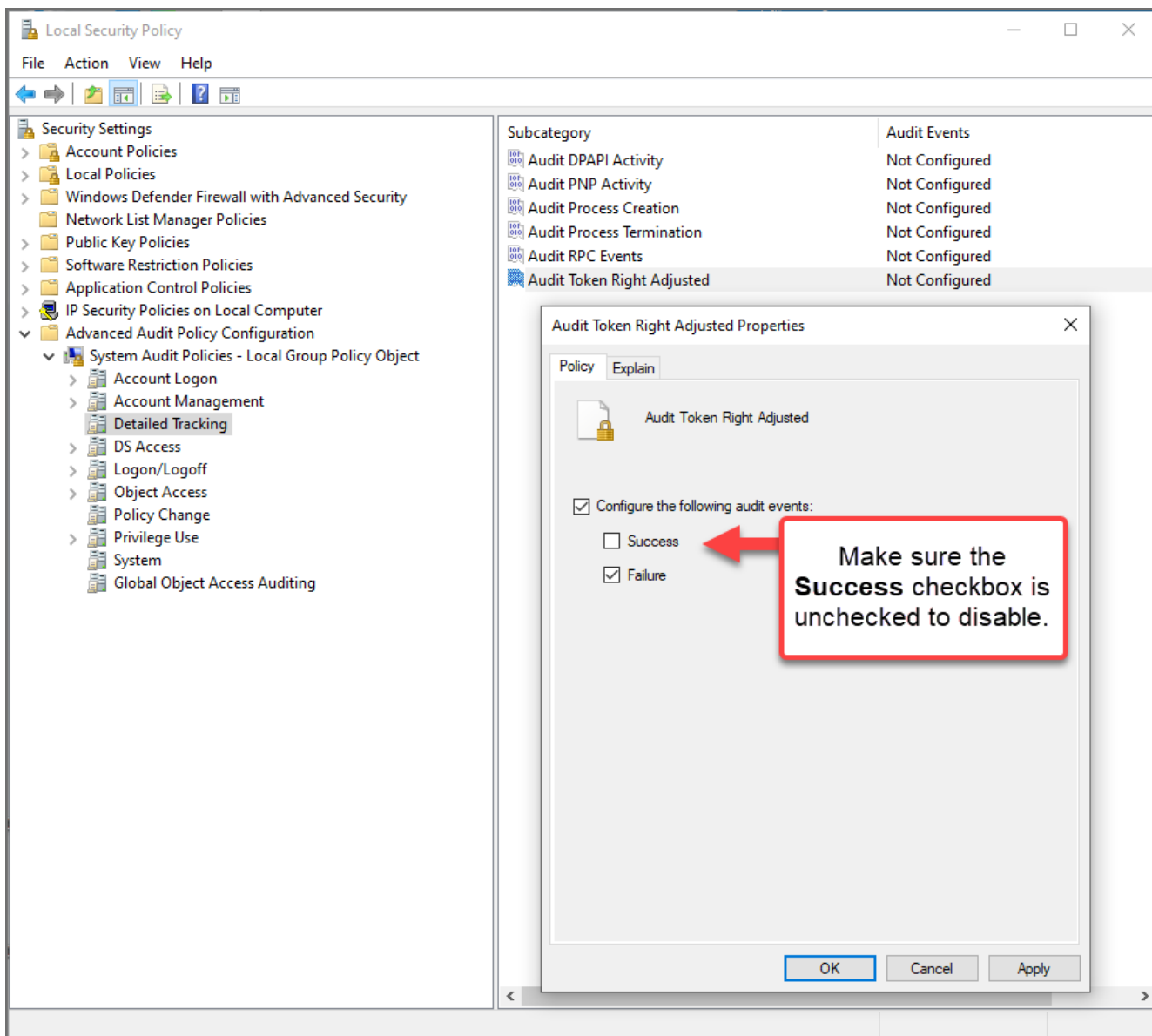
Reference: <https://docs.microsoft.com/en-us/windows/security/threat-protection/auditing/event-4703#security-monitoring-recommendations>.

By enabling "Success Auditing" for Audit Token Right Adjusted (Detailed Tracking ), 800+ (4703) events can be generated in a second, resulting in this high volume event impacting system performance.

Complete these steps to disable "Success" for "Audit Token Right Adjusted".

1. Log in, with administrator privileges, to the machine where you want to set the policy.  
On a domain computer, you must have a Domain administrator account.
2. Go to **Programs > Administrative Tools > Local Security Policy**.
3. Expand to **Security Settings > Advanced Audit Policy Configuration > System Audit Policies - Local Group Policy Object > Detailed Tracking**.
4. Go to the **Detailed Tracking** subcategory, and select **Audit Token Right Adjusted**.
5. Double click **Audit Token Right Adjusted**, select the **Configure the following audit events:** checkbox.
6. Uncheck the **Success** checkbox if needed to disable.
7. Click **Apply**.





## Configuring Print Log

FortiSIEM supports pull Windows print log from Windows agent. To configure, take the following steps.

- [Enabling Logging Print Log after WMI Configuration](#)
- [Setup in FortiSIEM](#)

### Enabling Logging Print Log after WMI Configuration

After WMI Configuration is completed (See [External Systems Configuration Guide Microsoft Windows Server](#)), enable logging print log by taking the following steps.

1. Open the **Event Viewer** window and navigate to **Applications and Services Logs > Microsoft > Windows > PrintService**.
2. Click **Operational**.
3. Right click, and select **Properties**.
4. Add a checkmark to the **Enable logging** checkbox.
5. Click **Apply**.
6. Click **OK**.

All print activities will be logged by Event Viewer through WMI. Event logs can be viewed under **Applications and Services Logs -> Microsoft -> Windows -> PrintService -> Operational**.

## Setup in FortiSIEM

Take the following steps to access print logs in FortiSIEM.

1. Log on to your Windows Server and navigate to **Event viewer > App and Service logs > Microsoft > windows > printservice > properties**.
2. Copy the full name from log properties.
3. Log onto FortiSIEM in super global.
4. Navigate to **ADMIN > Setup > Windows Agent**.
5. Under **Windows Agent Monitor Templates**, click **New** to create a Monitor Template.
6. In the **Name** field, enter a name for the template.
7. Click the **Event** tab.
8. In the Event Log row, click on **New**.
9. In the **Type** drop-down list, select **Other**.
10. In the **Event Name** field, enter/paste the full name from step 2.
11. Click **< Save**.
12. Click **Save**.
13. Under **Host to Template Associations**, create a host to template association by clicking **New**.
14. In the **Name** field, enter a name.
15. Choose an organization.
16. Select the monitor template you created through steps 5-12.
17. Select a collector.
18. Click **Save**.
19. Click **Apply**.

FortiSIEM now automatically parses events received via WMI or FortiSIEM Windows Agent.

## Configuring Windows Agent for Terminal Services

Take the following steps to configure audit log collection in Windows Agent for terminal services.

1. Log onto FortiSIEM in super global.
2. Navigate to **ADMIN > Setup > Windows Agent**.
3. Under **Windows Agent Monitor Templates**, click **New** to create a Monitor Template.
4. In the **Name** field, enter a name for the template.
5. Click the **Event** tab.

- 
6. Select the File Log: **DHCP** checkbox.
  7. In the Event Log row, click on **New**.
  8. Take the following steps:
    - a. In the **Type** drop-down list, select **Security**.
    - b. For the **Include Event** field, leave as **ALL**.
    - c. For the **Exclude Event** field, leave as **NONE**.
    - d. Click **< Save**.
  9. In the Event Log row, click on **New**.
  10. Take the following steps:
    - a. In the **Type** drop-down list, select **Application**.
    - b. In the **Source** drop-down list, select **All**.
    - c. For the **Include Event** field, leave as **ALL**.
    - d. For the **Exclude Event** field, leave as **NONE**.
    - e. Click **< Save**.
  11. In the Event Log row, click on **New**.
  12. Take the following steps:
    - a. In the **Type** drop-down list, select **Other**.
    - b. In the **Event Name** field, enter "Microsoft-Windows-TerminalServices-LocalSessionManager/Operational".
    - c. For the **Include Event** field, leave as **ALL**.
    - d. For the **Exclude Event** field, leave as **NONE**.
    - e. Click **< Save**.
  13. In the Event Log row, click on **New**.
  14. Take the following steps:
    - a. In the **Type** drop-down list, select **Other**.
    - b. In the **Event Name** field, enter "Microsoft-Windows-TerminalServices-RDPClient/Operational".
    - c. For the **Include Event** field, leave as **ALL**.
    - d. For the **Exclude Event** field, leave as **NONE**.
    - e. Click **< Save**.
  15. In the Event Log row, click on **New**.
  16. Take the following steps:
    - a. In the **Type** drop-down list, select **Other**.
    - b. In the **Event Name** field, enter "Microsoft-Windows-TerminalServices-Gateway/Operational".
    - c. For the **Include Event** field, leave as **ALL**.
    - d. For the **Exclude Event** field, leave as **NONE**.
    - e. Click **< Save**.
  17. Click **Save**.
  18. Under **Host to Template Associations**, create a host to template association by clicking **New**.
  19. In the **Name** field, enter a name.
  20. Choose an organization.
  21. Select the monitor template you created from step 3.
  22. Select collector(s).
  23. Click **Save**.
  24. Click **Apply**.

---

## Enabling FIPS

Follow the steps below to enable FIPS on a Windows system:

1. Click **Start > Run** and enter the command `secpol.msc` to open the **Local Security Policy** window.
2. Select **Security Settings > Local Policies > Security Options**.
3. In the right pane, double-click **System cryptography: Use FIPS compliant algorithms for encryption, hashing, and signing** and select **Enabled**.
4. Click **Apply** and then **OK**.

## Configuring Monitoring Policies in FortiSIEM

After you have configured Windows Servers in the previous step ([Configuring Windows Servers for FortiSIEM Agents](#)), you must create monitoring policies in FortiSIEM. For more information, see [Define the Windows Agent Monitor Templates](#) and [Associate Windows Agents to Templates](#) in the FortiSIEM User's Guide.

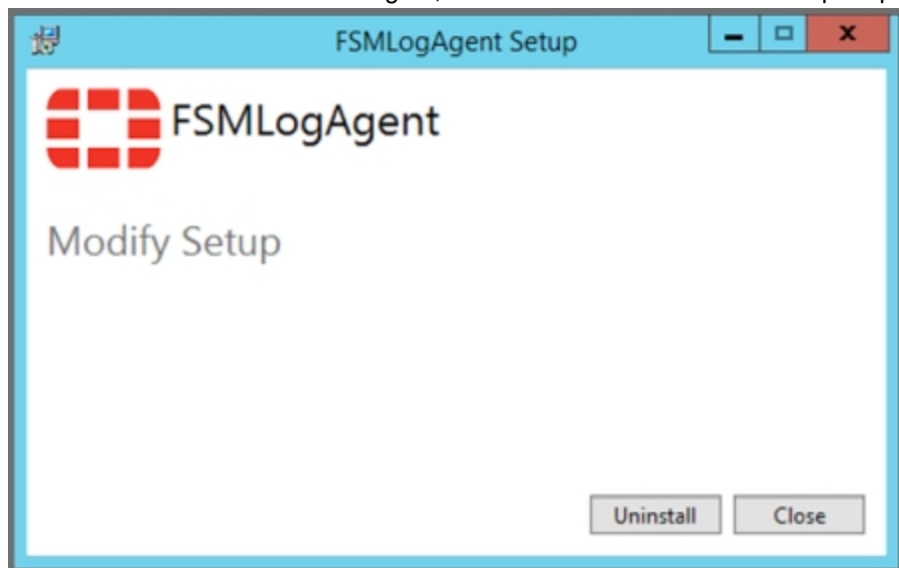
## Verifying Events in FortiSIEM

Follow the steps below to verify the events in FortiSIEM:

1.
  - a. Go to **ANALYTICS** tab.
  - b. Click the **Filters** field.
  - c. Create the following condition: **Attribute**= Raw Event Log, **Operator** = CONTAIN, **Value** = AccelOps-WUA and click **Save & Run**.  
**Note:** All event types for all Windows Server generated logs are prefixed by **AccelOps-WUA**.
  - d. Select the following **Group By**:
    - i. Reporting Device Name
    - ii. Reporting IP
  - e. Select the following **Display Fields**:
    - i. Reporting Device Name
    - ii. Reporting IP
    - iii. COUNT(Matched Events)
  - f. Run the query for the last 15 minutes.  
The Query will return all hosts that reported events in the last 15 minutes.

## Uninstalling Windows Agent

To uninstall FortiSIEM Windows Agent, run the FortiSIEM Installer. When prompted, click **Uninstall**.



## REST APIs used for Communication

A Windows Agent uses the following REST APIs:

| Purpose                     | URL                                                                                                                                                                           | Notes                 |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|
| Registration to Supervisor  | <a href="https://&lt;SuperFQDN&gt;:&lt;port&gt;/phoenix/rest/register/windowsAgent">https://&lt;SuperFQDN&gt;:&lt;port&gt;/phoenix/rest/register/windowsAgent</a>             | Supported Port is 443 |
| Status update to Supervisor | <a href="https://&lt;SuperFQDN&gt;:&lt;port&gt;/phoenix/rest/windowsAgent/update">https://&lt;SuperFQDN&gt;:&lt;port&gt;/phoenix/rest/windowsAgent/update</a>                 | Supported Port is 443 |
| Event Upload to Collectors  | <a href="https://&lt;CollectorFQDNorIP&gt;:&lt;port&gt;/winupload_direct?&lt;AgentID&gt;">https://&lt;CollectorFQDNorIP&gt;:&lt;port&gt;/winupload_direct?&lt;AgentID&gt;</a> | Supported Port is 443 |

## Troubleshooting from Windows Agent

Follow the troubleshooting steps for your version of Windows Agent.

- [Windows Agent 4.3.x and later](#)
- [Windows Agent 4.2.x and earlier](#)

---

## Windows Agent 4.3.x and later

In Windows Agent 4.3.x and later, edit the following:

- In `C:/Program Files/Fortinet/FortiSIEM/log4net.config`
  - Replace `<LogLevel>ERROR</LogLevel>` with `<LogLevel>DEBUG</LogLevel>`.
- In `C:/Program Files/Fortinet/FortiSIEM/fins.xml`
  - Replace `<LogLevel>4</LogLevel>` with `<LogLevel>1</LogLevel>`.
- In registry `HKEY_LOCAL_MACHINE\SOFTWARE\Fortinet\FortiSIEM`
  - Edit the value `LogLevel` from 1 to 2.

These changes instantly take affect. Allow logs to be collected for at least 5 minutes, once complete revert the changes back to their original values.

The debugging information is available in the following log files:

- Agent Service logs are located in `C:\ProgramData\FortiSIEM\Agent\Logs\FSMLogAgent.log`
- Agent Application logs are located in `C:\ProgramData\FortiSIEM\Agent\Logs\Trace.log`
- Other Agent Application logs are located in `C\Program Files\Fortinet\FortiSIEM\logs\cms.log`

## Windows Agent 4.2.x and earlier

In Windows Agent 4.2.X and earlier, edit the following:

- In registry `HKEY_LOCAL_MACHINE\SOFTWARE\AccelOps\Agent`
  - Edit the value `LogLevel` from 1 to 2.

These changes instantly take affect. Allow logs to be collected for at least 5 minutes, once complete revert the changes back to their original values.

The debugging information is available in the following log files:

- Agent Service logs are located in `C:\ProgramData\AccelOps\Agent\Logs\AoWinAgt.log`
- Agent Application logs are located in `C:\ProgramData\AccelOps\Agent\Logs\ProxyTrace.log`

## Sample Windows Agent Logs

For sample Windows Agent logs, see [Sample Windows Agent Logs](#) in the FortiSIEM User's Guide.



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