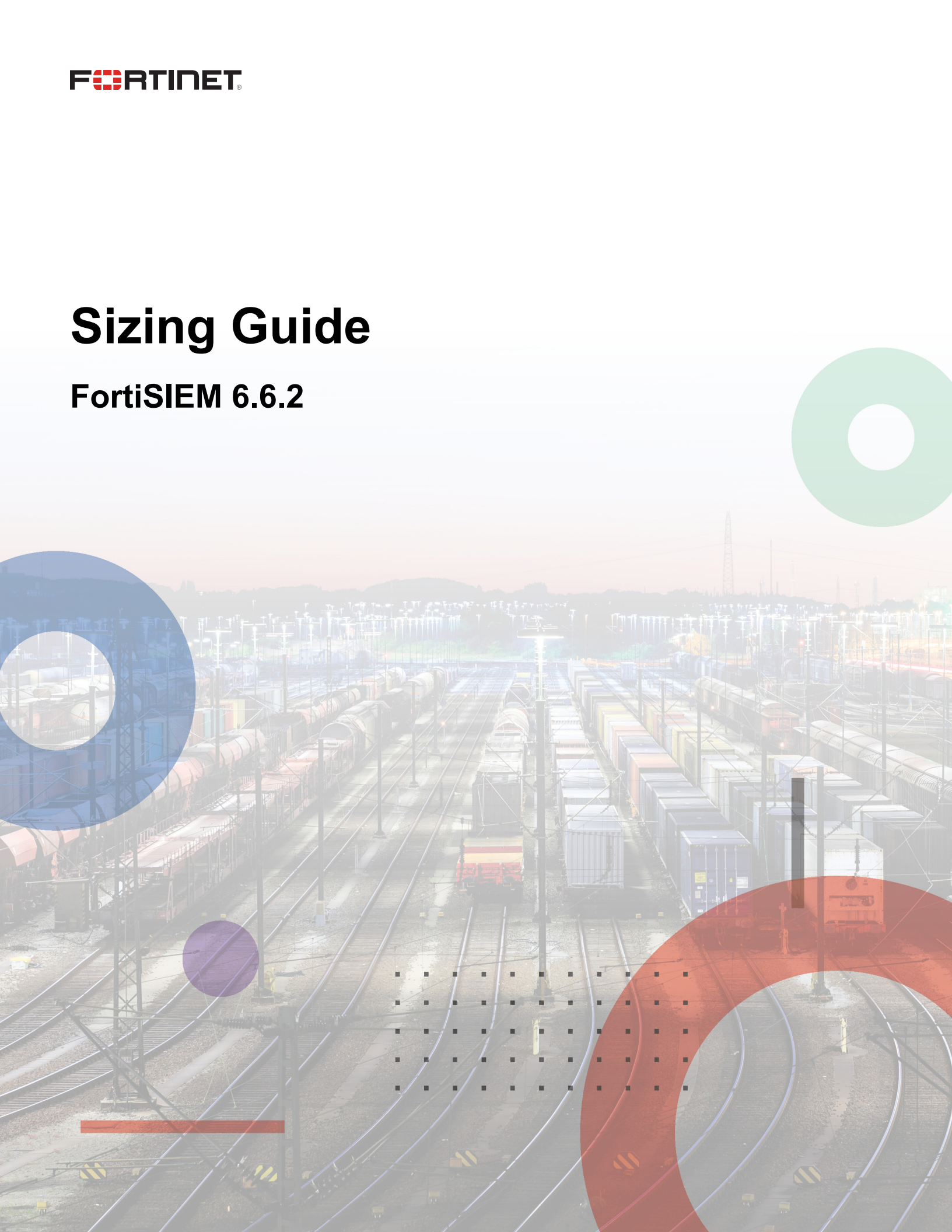


# Sizing Guide

**FortiSIEM 6.6.2**



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10/02/2023

FortiSIEM 6.6.2 Sizing Guide

# TABLE OF CONTENTS

<b>Change Log</b> .....	<b>4</b>
<b>FortiSIEM Sizing Guide</b> .....	<b>6</b>
Minimum Requirements .....	6
Browser Display .....	6
Hardware .....	6
Internal Scalability Tests .....	7
Test Setup .....	7
Test Success Criteria .....	7
Hardware Appliance EPS Test with ClickHouse .....	8
Hardware Appliance EPS Test with FortiSIEM Event Database .....	9
Virtual Appliance EPS Test with ClickHouse Database .....	10
Virtual Appliance EPS Test with FortiSIEM Event Database .....	11
Virtual Appliance EPS Test with Elasticsearch Database .....	12
Sizing Online Deployments .....	13
ClickHouse Based Deployment .....	13
EventDB Based Deployment .....	20
Elasticsearch Based Deployment .....	22
Sizing Archive Deployments .....	28
FortiSIEM EventDB Based Deployments .....	28
HDFS Based Deployments .....	29
References .....	31

# Change Log

Date	Change Description
03/30/2018	Initial release of FortiSIEM Sizing Guide.
04/12/2018	Revision 2 with updates to Storage Requirements for FortiSIEM EventDB and Elasticsearch Data Nodes sections.
11/20/2019	Sizing Guide released for 5.2.6.
03/30/2020	Sizing Guide release for 5.3.0
09/08/2020	Sizing Guide release for 6.1.0
03/23/2021	Sizing Guide release for 6.2.0
04/12/2021	Sizing Guide updated with Sizing Online Deployments and Sizing Archive Deployments for 6.2.0
05/06/2021	Sizing Guide release for 6.2.1
06/15/2021	FSM-3500G information added for 6.2.x.
07/06/2021	Sizing Guide release for 6.3.0
08/26/2021	Sizing Guide release for 6.3.1
10/15/2021	Sizing Guide release for 6.3.2
12/22/2021	Sizing Guide release for 6.3.3
01/04/2022	Minimum Requirements Hardware section updated for 6.x Sizing guides.
01/05/2022	Spark / HDFS Resource Allocation Considerations added to HDFS Based Deployments section for 6.4.0.
01/18/2022	Sizing Guide release for 6.4.0
03/09/2022	Spark / HDFS Resource Allocation Considerations section updated for 6.4.0 Sizing Guide.
05/09/2022	Sizing Guide release for 6.5.0
05/31/2022	Added: Hardware Appliance EPS Test with ClickHouse, Cluster Wide Shard Count Limit (Elasticsearch), ClickHouse Based Deployment
07/26/2022	Sizing Guide release for 6.6.0. Virtual Appliance EPS Test with ClickHouse Database section added. Sizing Online Deployments - ClickHouse Based Deployment section updated.
08/23/2022	Added: Shard Count column for ClickHouse Software Based Deployments (Minimum Requirement) and ClickHouse Software Based Deployments (Recommended Requirement).

Date	Change Description
08/24/2022	Update to ClickHouse Software Based Deployments (Minimum Requirement) and ClickHouse Software Based Deployments (Recommended Requirement) tables.
09/12/2022	Sizing Guide release for 6.5.1
09/14/2022	Sizing Guide release for 6.6.1
09/19/2022	Sizing Guide release for 6.6.2
11/15/2022	Compression on Event DB changed from 8:1 to 4:1, along with table update.
01/03/2023	Sizing Guide release for 6.6.3
08/31/2023	Sizing Guide release for 6.6.4
10/02/2023	Added OPT information under Minimum Requirements - Hardware.

# FortiSIEM Sizing Guide

This document provides information about the following topics:

- [Minimum Requirements](#)
  - [Browser Display](#)
  - [Hardware](#)
- [Internal Scalability Tests](#)
  - [Test Setup](#)
  - [Test Success Criteria](#)
  - [Hardware Appliance EPS Test with ClickHouse](#)
  - [Hardware Appliance EPS Test With FortiSIEM Event Database](#)
  - [Virtual Appliance EPS Test with ClickHouse Database](#)
  - [Virtual Appliance EPS Test with FortiSIEM Event Database](#)
  - [Virtual Appliance EPS Test with Elasticsearch Database](#)
- [Sizing Online Deployments](#)
  - [ClickHouse Based Deployment](#)
  - [EventDB Based Deployment](#)
  - [Elasticsearch Based Deployment](#)
- [Sizing Archive Deployments](#)
  - [FortiSIEM EventDB Based Deployments](#)
  - [HDFS Based Deployments](#)
- [References](#)

## Minimum Requirements

### Browser Display

FortiSIEM, like most monitoring, SIEM and analytics tools, shows a lot of information on the screen at once. FortiSIEM HTML GUI has chosen a bigger font for legibility reasons. Hence, Fortinet recommends that users have a minimum 1680x1050 desktop display resolution.

### Hardware

Minimum hardware requirements for FortiSIEM nodes are as follows.

Node	vCPU	RAM	Local Disks
Supervisor (All in one)	Minimum – 12 Recommended - 32	Minimum <ul style="list-style-type: none"> <li>• without UEBA – 24GB</li> <li>• with UEBA - 32GB</li> </ul>	OS – 25GB OPT – 100GB CMDB – 60GB

Node	vCPU	RAM	Local Disks
		Recommended <ul style="list-style-type: none"> <li>without UEBA – 32GB</li> <li>with UEBA - 64GB</li> </ul>	SVN – 60GB Local Event database – based on need
Supervisor (Cluster)	Minimum – 12 Recommended - 32	Minimum <ul style="list-style-type: none"> <li>without UEBA – 24GB</li> <li>with UEBA - 32GB</li> </ul> Recommended <ul style="list-style-type: none"> <li>without UEBA – 32GB</li> <li>with UEBA - 64GB</li> </ul>	OS – 25GB OPT – 100GB CMDDB – 60GB SVN – 60GB
Workers	Minimum – 8 Recommended - 16	Minimum – 16GB Recommended <ul style="list-style-type: none"> <li>without UEBA – 24GB</li> <li>with UEBA - 32GB</li> </ul>	OS – 25GB OPT – 100GB
Collector	Minimum – 4 Recommended – 8 ( based on load)	Minimum – 4GB Recommended – 8GB	OS – 25GB OPT – 100GB

- Supervisor VA needs more memory since it hosts many heavy-duty components such as Application Server (Java), PostgreSQL Database Server and Rule Master.
- With Elasticsearch, Supervisor VA also hosts the Java Query Server component for communicating with Elasticsearch – hence the need for additional 8 GB memory.
- For OPT - 100GB, the 100GB disk for /opt will consist of a single disk that will split into 2 partitions, /OPT and swap. The partitions will be created and managed by FortiSIEM when `configFSM.sh` runs.

Note that these are only the minimum requirements. The performance may improve by increasing vCPUs and RAM in certain situations. External storage depends on your EPS mix and the number of days of log storage needs. To provide more meaningful guidance, scalability tests were conducted as described below.

## Internal Scalability Tests

FortiSIEM team performed several scalability tests described below.

### Test Setup

- A specific set of events were sent repeatedly to achieve the target EPS.
- The target EPS was constant over time.
- A set of Linux servers were monitored via SNMP and performance monitoring data was collected.
- Events triggered many incidents.

### Test Success Criteria

The following success criteria should be met on testing:

- Incoming EPS must be sustained without any event loss.
- Summary dashboards should be up to date and not fall behind.
- Widget dashboards should show data indicating that inline reporting is keeping up.
- Incidents should be up to date.
- Real-time search should show current data and trend chart should reflect incoming EPS.
- GUI navigation should be smooth.
- CPU, memory and IOPS are not maxed out. Load average must be less than the number of cores.

The tests were run for three cases:

- All-in-one FSM Hardware Appliance: FSM-2000F and FSM-3500F with collectors FSM-500F sending events.
- FSM Virtual Appliance with FortiSIEM EventDB as the data store.
- FSM Virtual Appliance with Elasticsearch as the data store.

## Hardware Appliance EPS Test with ClickHouse

The test bed is shown below. Scripts generated events on FSM-500F Collectors, which parsed those events and sent to the appliances.

FortiSIEM HW Appliance	Event Sender				Sustained EPS without Loss
	Hardware Spec	Collector Model	Count	EPS/Collector	
FSM-2000F	2000F - 12vCPU (1x6C2T), 32GB RAM, 12x3TB SATA (3 RAID Groups)	FSM-500F	3	5K	15K
FSM-2000G	2000G - 40vCPU (2x10C2T), 128GB RAM, 4x1TB SSD (RAID5), 8x4TB SAS (2 RAID50 Groups)	FSM-500F	6	7K	40K
FSM-3500G	3500G, 48vCPU (2x12C2T), 128GB RAM, 24x4TB SATA (3 RAID50 groups)	FSM-500F	6	8K	40K

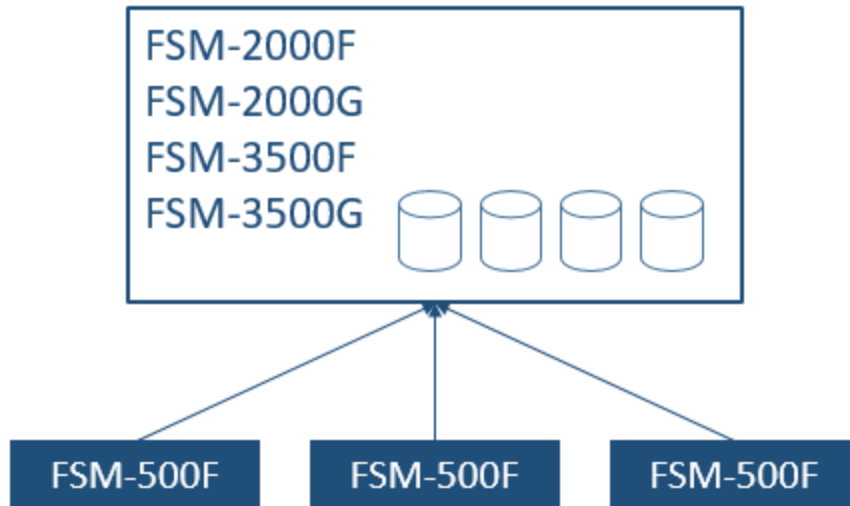
### Notes:

1. Event Ingestion speed increased two fold in FSM-2000G with ClickHouse compared to FortiSIEM EventDB. ClickHouse event database made better utilization of the vCPUs in the system.
2. Since FSM-2000F has fewer vCPU compared to FSM-2000G, the performance of both FortiSIEM EventDB and ClickHouse are identical. The appliance is CPU bound.
3. For FortiSIEM 3500G, the insert performance of FortiSIEM EventDB and ClickHouse is identical as FortiSIEM EventDB could also use disk striping for better I/O.



## Hardware Appliance EPS Test with FortiSIEM Event Database

The test beds is shown below. Scripts generated events on FSM-500F Collectors, which parsed those events and sent them to the appliances.



The results are shown below:

FortiSIEM HW Appliance	Event Sender			EPS/Collector	Sustained EPS without Loss
	Hardware Spec	Collector Model	Count		
FSM-2000F	2000F - 12vCPU (1x6C2T), 32GB RAM, 12x3TB SATA (3 RAID Groups)	FSM-500F	3	5K	15K
FSM-2000G	2000G - 40vCPU (2x10C2T), 128GB RAM, 4x1TB SSD (RAID5), 8x4TB SAS (2 RAID50 Groups)	FSM-500F	3	6K	20K
FSM-3500G	3500G, 48vCPU (2x12C2T), 128GB RAM, 24x4TB SATA (3 RAID50 groups)	FSM-500F	6	8K	40K

## Virtual Appliance EPS Test with ClickHouse Database

All tests were done in AWS. The following hardware was used.

Node Type	AWS Instance Type	Hardware Specification
Collector	c5.2xlarge	8 vCPU, 16 GB.
Worker as ClickHouse Keeper node	C6a.8xlarge	32 vCPU, 64 GB, SSD 125Mbps throughput
Worker as ClickHouse Data/Query Node	C6a.8xlarge	32 vCPU, 64 GB, SSD 1GBps throughput
Supervisor	m6a.8xlarge	32 vCPU, 128 GB, CMDDB Disk 10K IOPS

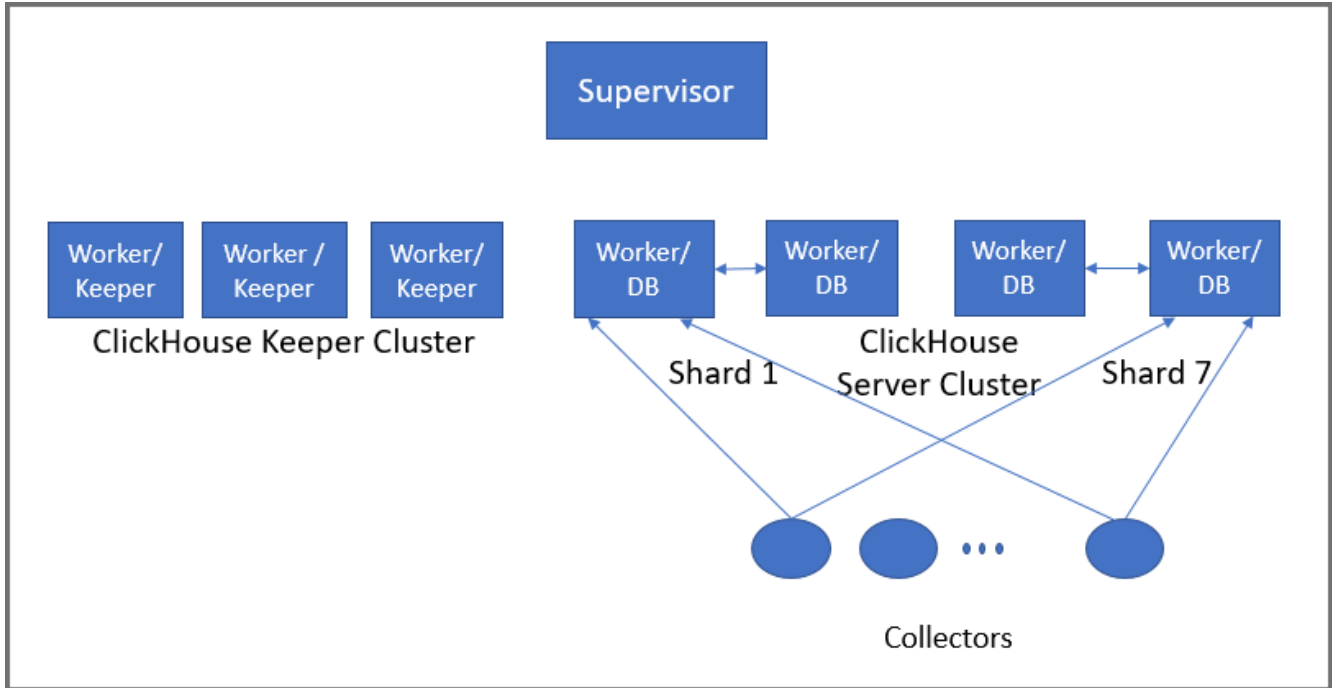
Based on the requirement to handle 500K EPS, the following setup was used:

- 1 Supervisor
- 3 Worker nodes as part of ClickHouse Keeper Cluster
- 14 Worker nodes as part of ClickHouse Server Cluster
  - 7 shards
  - 2 Workers in each shard. This means that 2 copies of each event were kept (Replication = 2).
- 150 Collectors, each sending 3.3K EPS to the 14 Workers in the ClickHouse Server Cluster, in a round robin fashion. Each Worker replicated its received events to the other Worker within the same shard.
- Collectors could also send events to the ClickHouse Keeper Cluster nodes, but this was not done. The ClickHouse Keeper Cluster nodes were dedicated to Replication management.
- Each Worker handles 35.7K EPS.

See [ClickHouse Configuration](#) in the latest Online Help for details on setting up ClickHouse Clusters.

See the testbed below. Scripts generated events on the Collectors, which were sent to the Workers. Service provider deployment was used. There were 150 Organizations and each Collector belonging to an Organization discovered and monitored the performance of 150 other Collectors in other Organization. This resulted in 22.5K devices in CMDDB and each were being discovered using SNMP and monitored for basic performance metrics including CPU, Memory, Disk and Network interface utilization.

500K EPS were sustained without any event loss for over 2 days. 5 users logged on the system and ran queries and visited various parts of the user interface.

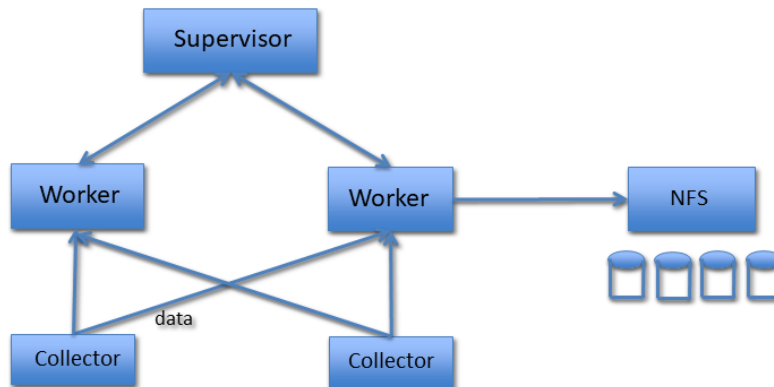


### Virtual Appliance EPS Test with FortiSIEM Event Database

All tests were done in AWS. The following hardware was used.

Type	AWS Instance Type	Hardware Spec
Collector	c4.xlarge	4vCPU, 7 GB RAM
Worker	c4.2xlarge	8vCPU, 15 GB RAM
Super	m4.4xlarge	16vCPU, 64 GB RAM, CMDB Disk 10K IOPS
NFS Server	c4.2xlarge	8vCPU, 16 GB RAM, 10K IOPS

The test bed is as follows:



The following result shows 10K EPS sustained per Worker with over 20K CMDB Devices.

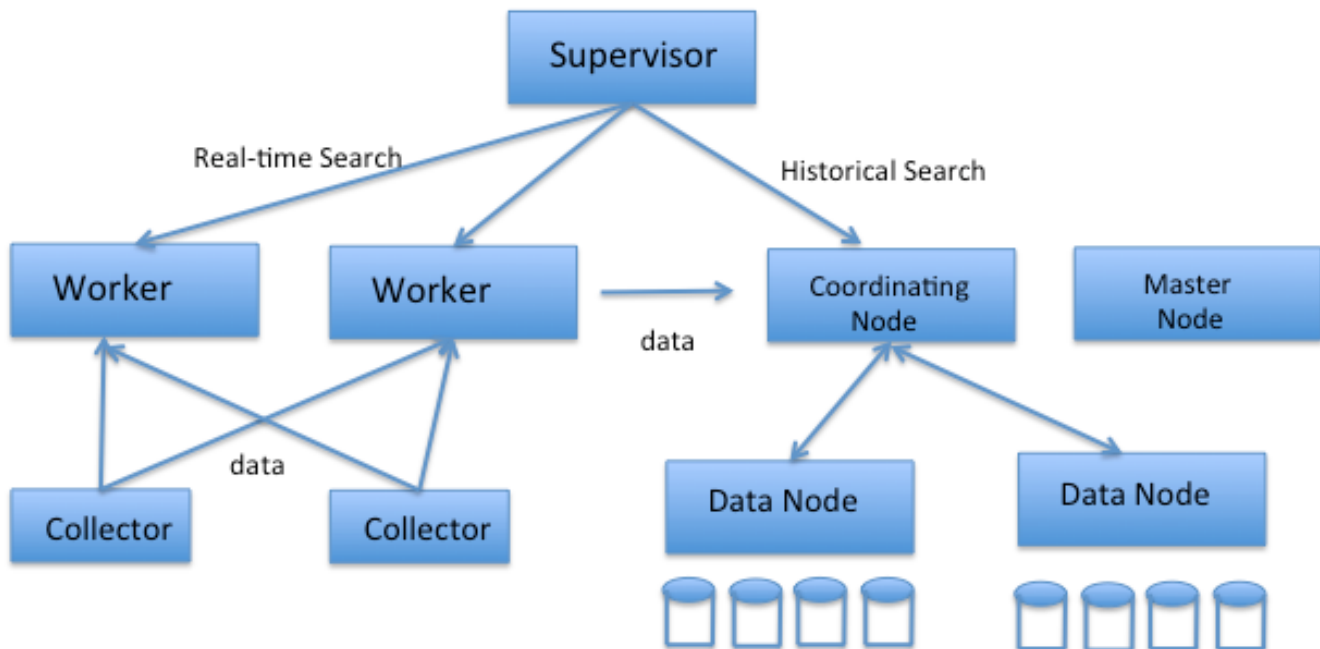
Event Sender			Event Handler				
Collector Count	EPS/Collector	Monitored Device/Collector	Super	Workers	Orgs	CMDB Device	Sustained EPS without Loss
150	200	150	1	3	150	22,500	30K

## Virtual Appliance EPS Test with Elasticsearch Database

All tests were done in AWS. The following hardware was used.

Type	AWS Instance Type	Hardware Spec
Collector	c4.xlarge	4vCPU, 7 GB RAM
Worker	c4.2xlarge	8vCPU, 15 GB RAM
Super	m4.4xlarge	16vCPU, 64 GB RAM, CMDB Disk 10K IOPS
Elastic Search Master Node	c3.2xlarge	8vCPU, 16 GB RAM with 8 GB JVM
Elastic Search Coordinating Node	m5.4xlarge	16vCPU, 64 GB RAM with 30 GB JVM allocation
Elastic Search Data Node	i3.4xlarge	16vCPU, 122 GB RAM, 1.9TBx2 NVMe SSD Instance-store Volumes, 30 GB JVM

The test bed was as follows:



The following result shows 5K EPS sustained per Data Node with over 20K CMDB Devices.

Event Sender			Event Handler					
Collector Count	EPS/Collector	Monitored Device/Collector	Super	Workers	Elastic (M/CO/DN/Shards)*	Orgs	CMDB Device	Sustained EPS without Loss
150	200	150	1	3	1/1/5/10	150	22,500	30K

\* M = Elasticsearch Master, CO = Elasticsearch Co-ordinator, DN = Elasticsearch Data Node

## Sizing Online Deployments

ClickHouse, EventDB based deployment, and Elasticsearch based deployments are available.

- ClickHouse Based Deployment
- EventDB Based Deployment
- Elasticsearch Based Deployment

## ClickHouse Based Deployment

### Processing Requirement

- Hardware Appliance Deployments
- **Software Based Deployments (Minimum Requirement)**
- **Software Based Deployments (Recommended Requirement)**
- Storage Requirement for ClickHouse

### Hardware Appliance Deployments

EPS	Deployment	Replication	Hardware Model	Network
0-20K	Hardware	1	2000F, 2000G, 3500G	1Gbps
20K-40K	Hardware	1	2000G, 3500G	1Gbps

### Software Based Deployments (Minimum Requirement)

EPS	Repli.	Shard Ct.	Supervisor or All-in-one			Workers				Netwrk
			Cnt	vCPU, RAM	Disk tput	Cnt	Type	vCPU, RAM	Disk tput	
0-5K	1	1	1	16,24GB	200MBps	0				1Gbps

EPS	Repli.	Shard Ct.	Supervisor or All-in-one			Workers				Netwrk
			Cnt	vCPU,RAM	Disk tput	Cnt	Type	vCPU,RAM	Disk tput	
0-5K	2	1	1	16,24GB	200MBps	1	Data + Query + Keeper	16,24GB	200MBps	1Gbps
5K-10K	1	1	1	32,32GB	200MBps	0				1Gbps
5K-10K	2	1	1	16,32GB	200MBps	1	Data + Query + Keeper	16,32GB	200MBps	1Gbps
10K-20K	1	1	1	32,64GB	200MBps	0				1Gbps
10K-20K	2	1	1	32,64GB	200MBps	1	Data + Query + Keeper	32,64GB	200MBps	1Gbps
20K-30K	1	1	1	48,64GB	500MBps	1	Data + Query + Keeper	32,64GB	200MBps	1Gbps
20K-30K	2	1	1	32,64GB	200MBps	1	Data + Query + Keeper	32,64GB	200MBps	1Gbps
30K-60K	2	1	1	32,64GB	200MBps	1	Data + Query	32,64GB	500MBps	10Gbps
		1				1	Data + Query + Keeper	32,64GB	500MBps	10Gbps
60K-125K	2	2	1	32,64GB	200MBps	4	Data + Query	32,64GB	500MBps	10Gbps
						1	Keeper	32,64GB	200MBps	
125K-175K	2	3	1	32,64GB	200MBps	6	Data + Query	32,64GB	500MBps	10Gbps
						1	Keeper	32,64GB	200MBps	
175K-250K	2	4	1	64,96GB	200MBps	8	Data + Query	32,64GB	500MBps	10Gbps

EPS	Repli.	Shard Ct.	Supervisor or All-in-one			Workers				Netwrk
			Cnt	vCPU,RAM	Disk tput	Cnt	Type	vCPU,RAM	Disk tput	
						1	Keeper	32,64GB	200MBps	
250K-300K	2	5	1	64,96GB	200MBps	10	Data + Query	32,64GB	500MBps	10Gbps
						3	Keeper	32,64GB	200MBps	
300K-360K	2	6	1	64,96GB	200MBps	12	Data + Query	32,64GB	500MBps	10Gbps
						3	Keeper	32,64GB	200MBps	
360K-420K	2	7	1	64,96GB	200MBps	14	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
420K-500K	2	8	1	64,96GB	200MBps	16	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
500K-550K	2	9	1	64,96GB	200MBps	18	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
550K-600K	2	10	1	64,96GB	500MBps	20	Data+Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
600K-650K	2	11	1	64,96GB	500MBps	22	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
650K-750K	2	12	1	64,96GB	500MBps	24	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	

EPS	Repli.	Shard Ct.	Supervisor or All-in-one			Workers				Netwrk
			Cnt	vCPU,RAM	Disk tput	Cnt	Type	vCPU,RAM	Disk tput	
750K-800K	2	13	1	64,96GB	500MBps	26	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
800K-850K	2	14	1	64,96GB	500MBps	28	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
850K-900K	2	15	1	64,96GB	500MBps	30	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
900K-950K	2	16	1	64,96GB	500MBps	32	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
950K-1M	2	17	1	64,96GB	500MBps	34	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	

For more than 1 million EPS, contact FortiSIEM Professional Services.

## Software Based Deployments (Recommended Requirement)

The ClickHouse Keeper node functionality is essential for keeping the ClickHouse Cluster up and running. So Fortinet recommends the following changes to the Minimum configuration.

- For 10K-60K EPS range: 1 dedicated ClickHouse Keeper node. This prevents the ClickHouse Cluster from becoming read only (and hence no ingest), if the Supervisor or Worker node restarts.
- For 60K-250K EPS range: 3 dedicated ClickHouse Keeper nodes. This is a big enough cluster and will prevent the ClickHouse Cluster from becoming read only (and hence no ingest), if a ClickHouse Keeper node restarts or dies.

Also, if you want faster query times, you can increase the number of shards to parallelize the Ingest and Query Workload.

- Increase the number of shards.
  - or –
- Add more Data + Query nodes per shard.



EPS	Repli.	Shard Ct.	Supervisor or All-in-one			Workers				Netwrk
			Cnt	vCPU,RA M	Disk tput	Cnt	Type	vCPU,RA M	Disk tput	
0-5K	1	1	1	16,24GB	200MBps	0				1Gbps
0-5K	2	1	1	16,24GB	200MBps	1	Data + Query + Keeper	16,24GB	200MBps	1Gbps
5K-10K	1	1	1	32,32GB	200MBps	0				1Gbps
5K-10K	2	1	1	16,32GB	200MBps	1	Data + Query + Keeper	16,32GB	200MBps	1Gbps
10K-20K	1	1	1	32,64GB	200MBps	0				1Gbps
10K-20K	2	1	1	32,64GB	200MBps	1	Data + Query	32,64GB	200MBps	1Gbps
						1	Keeper	32,64GB	200MBps	1Gbps
20K-30K	1	1	1	48,64GB	200MBps	1	Data + Query	32,64GB	200MBps	1Gbps
						1	Keeper	32,64GB	200MBps	1Gbps
20K-30K	2	1	1	32,64GB	200MBps	1	Data + Query	32,64GB	200MBps	1Gbps
						1	Keeper	32,64GB	200MBps	1Gbps
30K-60K	2	1	1	32,64GB	200MBps	2	Data + Query	32,64GB	500MBps	10Gbps
						1	Keeper	32,64GB	200MBps	10Gbps
60K-125K	2	2	1	32,64GB	200MBps	4	Data + Query	32,64GB	500MBps	10Gbps
						3	Keeper	32,64GB	200MBps	
125K-175K	2	3	1	32,64GB	200MBps	6	Data + Query	32,64GB	500MBps	10Gbps
						3	Keeper	32,64GB	200MBps	
175K-250K	2	4	1	64,96GB	200MBps	8	Data + Query	32,64GB	500MBps	10Gbps

EPS	Repli.	Shard Ct.	Supervisor or All-in-one			Workers				Netwrk
			Cnt	vCPU,RA M	Disk tput	Cnt	Type	vCPU,RA M	Disk tput	
						3	Keeper	32,64GB	200MBps	
250K-300K	2	5	1	64,96GB	200MBps	10	Data + Query	32,64GB	500MBps	10Gbps
						3	Keeper	32,64GB	200MBps	
300K-360K	2	6	1	64,96GB	200MBps	12	Data + Query	32,64GB	500MBps	10Gbps
						3	Keeper	32,64GB	200MBps	
360K-420K	2	7	1	64,96GB	200MBps	14	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
420K-500K	2	8	1	64,96GB	200MBps	16	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
500K-550K	2	9	1	64,96GB	200MBps	18	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
550K-600K	2	10	1	64,96GB	500MBps	20	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
600K-650K	2	11	1	64,96GB	500MBps	22	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
650K-750K	2	12	1	64,96GB	500MBps	24	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
750K-800K	2	13	1	64,96GB	500MBps	26	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
800K-850K	2	14	1	64,96GB	500MBps	28	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	

EPS	Repli.	Shard Ct.	Supervisor or All-in-one			Workers				Netwrk
			Cnt	vCPU,RAM	Disk tput	Cnt	Type	vCPU,RAM	Disk tput	
850K-900K	2	15	1	64,96GB	500MBps	30	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
900K-950K	2	16	1	64,96GB	500MBps	32	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	
950K-1M	2	17	1	64,96GB	500MBps	34	Data + Query	32,64GB	1GBps	10Gbps
						3	Keeper	32,64GB	200MBps	

For more than 1 million EPS, contact FortiSIEM Professional Services.

See ClickHouse Usage Recommendations in [References](#) for more information.

## Storage Requirement for ClickHouse

FortiSIEM storage requirement depends on the following factors:

- EPS
- Bytes/event
- Compression ratio
- Retention period

Typically, EPS peaks during morning hours on weekdays and goes down dramatically after 2 pm on weekdays and also remains low on weekends. So the average EPS should be used to calculate storage needs.

Bytes/event depends on the event types and their rate in your environment. Typically, the Bytes/event is dominated by Windows AD network authentication logs, Firewall permit/deny logs, and Netflow, which are relatively short ~500 Bytes. General Windows Security logs tend to be a little larger (~1000 Bytes) and Cloud logs tend to be much larger (unto 10K Bytes sometimes).

Fortinet has chosen LZ4 compression algorithm in ClickHouse, which provides 4:1 compression ratio. Note that higher compression would require higher Worker CPU and memory to achieve the same insertion and Query rates as LZ4, so other higher compression algorithms were not chosen.

The storage requirement is simply  $EPS * Bytes/event * Compression\ ratio * Retention\ period$  (remember to normalize the units).

It is best for the user to estimate or measure the EPS and Bytes/event for their environment. If you have stored a sufficient mix of events in a file, then you can count Bytes/event as the file size divided by the number of lines in that file.

The following example illustrates the storage requirements.

- Suppose in your environment peak EPS is 10K and average EPS is 2K. An estimate may be 6K.
- Bytes/event is 500 Bytes

- Compression ratio 4:1
- Retention period 2 weeks (14 days) in Hot storage and 2.5 months (76 days) in Warm storage
- Replication = 2 (meaning 2 copies of data)

Then

- Storage per day:  $(2 * 6000 * 86400 * 500) / (4 * 1024 * 1024 * 1024)$  GB = 122GB  
 The general formula is:  
 $Storage\ per\ day = (Replication * EPS * Seconds\ in\ a\ day * (Bytes/Event)) / (Compression * 1024 * 1024 * 1024)$  GB
- Hot storage requirement for 14 days
  - Cluster wide: 1.7TB
  - Assuming 2 Data/Query Nodes, per node storage is 854GB
- Warm storage requirement for 76 days
  - Cluster Wide : 9.4TB
  - Assuming 2 Data/Query Nodes, per node storage is 4.7TB

## EventDB Based Deployment

### Processing Requirement

Requirement		Recommendation			
EPS	Deployment	HW Model	SW Configuration		
			Nodes	HW Per Node (vCPU, RAM)	NFS IOPS
Up to 5K	Hardware	FSM-2000F			
Up to 5K	Software		All-in-one	16, 24GB	
5K – 10K	Hardware	FSM-2000F			
5K – 10K	Software		Supervisor	16, 24GB	
			1 Worker	8, 16GB	2000
10K – 15K	Hardware	FSM-3500F			
10K – 15K	Software		Supervisor	16, 24GB	
			2 Workers	8, 16GB	3000
15K – 25K	Hardware	FSM-3500F			
15K – 25K	Software		Supervisor	16, 24GB	
			3 Workers	16, 16GB	5000
25K – 35K	Software		Supervisor	16, 24GB	
			4 Workers	16, 16GB	7000

Requirement		Recommendation			
EPS	Deployment	HW Model	SW Configuration		
			Nodes	HW Per Node (vCPU, RAM)	NFS IOPS
<b>Add 10K EPS</b>	<b>Software</b>		<b>Add 1 Worker</b>	<b>16, 16GB</b>	<b>Add 2000 IOPS</b>
10K – 15K	Hardware	FSM-3500G			
10K – 15K	Software		Supervisor	16, 24GB	
			2 Workers	8, 16GB	3000
15K – 25K	Hardware	FSM-3500G			
15K – 25K	Software		Supervisor	16, 24GB	
			3 Workers	16, 16GB	5000
25K – 35K	Software		Supervisor	16, 24GB	
			4 Workers	16, 16GB	7000
<b>Add 10K EPS</b>	<b>Software</b>		<b>Add 1 Worker</b>	<b>16, 16GB</b>	<b>Add 2000 IOPS</b>

## Storage Requirement for FortiSIEM EventDB

FortiSIEM storage requirement depends on three factors:

- EPS
- Bytes/log mix in your environment
- Compression ratio (4:1)

You are likely licensed for Peak EPS. Typically, EPS peaks during morning hours on weekdays and goes down dramatically after 2 pm on weekdays and also remains low on weekends. So the average EPS should be used to calculate storage needs.

For calculating Bytes/log, consider the following aspects:

- Network devices and Linux servers tend to send shorter logs (150-200 bytes/log) while Windows Security logs tend to be much larger (500-1000 bytes/log).
- Busy corporate firewalls and domain controllers tend to send much higher log volumes (higher EPS) than other systems, assuming they are sending all logs.
- Database indices built on logs for efficient searching consumes significant storage as well.
- ASCII text (syslog) compresses much better than binary (for example, Netflow)

Therefore, it is difficult to properly assume a specific bytes/log mix in your environment without measurement. Our experience from sampling of 5 large customers has shown that Bytes/log is between 100-150 including all factors – device mix, log mix, indexing cost and compression. Fortinet calculated this by dividing the total FortiSIEM event file size (in `\data`) over one day by the total number of events on that day, and then averaging over a few days.

It is important to provision the NFS server with enough IOPS and network bandwidth for read and write of event data and where possible cater for peaks in EPS. It is recommended that NFS is provisioned with 10Gbit interfaces or higher and the FortiSIEM Supervisor and Worker nodes to also be provisioned with 10Gbit interfaces to the NFS storage network.

The table below shows two scenarios – Worst case and average case for NFS storage. In worst case, Peak EPS and 150 Bytes/log is used. In the average case, 0.5 Peak EPS and 100 Bytes/log is used.

Peak EPS	Storage (Months)	NFS Storage (TB) (Rounded to the nearest 0.5TB)	
		Worst Case	Average Case
1000	12	1.5	0.5
1000	24	2.5	1
1000	36	3.5	1.5
2000	12	2.5	1
2000	24	4.5	1.5
2000	36	6.5	2.5
5000	12	5.5	2
5000	24	11	4
5000	36	16	5.5
10000	12	11	4
10000	24	21.5	7.5
10000	36	32	11

#### NFS Storage (GB):

- Worst case =  $(\text{Peak EPS} * 150 * 86400 * 30 * \text{Storage(Months)}) / (4 * 1024 * 1024 * 1024)$
- Average case =  $(0.5 * \text{Peak EPS} * 100 * 86400 * 30 * \text{Storage(Months)}) / (4 * 1024 * 1024 * 1024)$

## Elasticsearch Based Deployment

This section provides information about the following Elasticsearch based deployment topics:

- [Background](#)
- [Recommended Elasticsearch Configuration](#)
- [Sizing of Coordinator Only Nodes](#)
- [Sizing of Hot Data Nodes](#)
- [Sizing of Warm Data Nodes](#)
- [Sizing of Frozen Data Nodes](#)

### Background

An Elasticsearch deployment consists of

- Master node (required)
- Coordinator Only nodes (required)

- Data nodes – Hot, Warm and Frozen (See below)

Keep the following points in mind about Hot, Warm and Frozen Data nodes:

- FortiSIEM inserts events into Hot nodes, so Hot nodes need fast disk I/O to handle inserts and reads. A solid state drive (SSD) with more than 200Gb/s I/O throughput are recommended for hot nodes.
- User can specify Hot node retention policy (days) in FortiSIEM. When this limit is reached, or Hot node disk usage reaches its high watermark (75% full), events are moved from Hot nodes to Warm nodes, to make room for new events in the Hot node. Warm nodes only handle event reads, so they can afford slightly lower speed disks than Hot nodes. Hard disk drives or DAS/SAN disks with about 100 Gb/s I/O throughput are recommended. Warm nodes will have similar query response times as Hot nodes, as they aren't performing expensive indexing operations.
- User can specify Warm node retention policy (days) in FortiSIEM. When this limit is reached, or Warm node disk usage reaches its high watermark (75% full), events are moved from Warm nodes to Frozen nodes. In the Frozen nodes, indices are flushed from memory to disk, so Frozen nodes can have much larger disks. Fortinet recommends Frozen nodes to have similar disk speeds as Warm nodes, but with larger capacity. When a user queries for data in Frozen nodes, the Frozen nodes will temporarily rehydrate the required indices to memory, and then flush to disk after the query is complete. Therefore, Frozen nodes will have higher query response time.

When using Elasticsearch in FortiSIEM, hot nodes are the minimum requirement. A budget friendly way to add additional storage is to add Warm nodes. For even more capacity, Frozen nodes can be added.

While Elasticsearch Frozen nodes can provide some Archive options, FortiSIEM provides two additional Archive options with higher compression – FortiSIEM EventDB on NFS and HDFS. Should you choose to use archiving, ensure you use the **Real Time Archive** option so that events are written to the Archive at the point of insertion into Hot nodes. This eliminates the need for moving data from Online to Archive, as it is prohibitively expensive to read events out of Elasticsearch and write back to Archive.

To summarize, the following options are available for Elasticsearch:

- Online
  - Elasticsearch
    - Hot node only
    - Hot node and Warm node
    - Hot node, Warm node, and Frozen node
- Archive
  - EventDB on NFS
  - HDFS

FortiSIEM has a dynamic shard management feature to keep Elasticsearch working optimally.

- For Hot nodes, FortiSIEM lets a shard grow up to 40GB (Elasticsearch limit 50GB), and closes it once the 40GB limit is reached. In a low EPS situation, a shard can span multiple days. FortiSIEM uses an aliasing technique to string the shards together. In a high EPS situation, there can be multiple shards in a day.
- Segment merge is performed to reduce heap usage for indices older than 2 days.

## Recommended Elasticsearch Configuration

Fortinet recommends the following configuration steps.

- Replica – at least 1
- Master, Coordinator Only nodes and Data nodes on different machines
- 3 Master nodes – each with 8 vCPU, 16 GB RAM

- At least 2 Coordinator Only nodes – each with 16 vCPU, 32 GB RAM. Two Coordinator Only nodes are chosen for failover. The exact number of Coordinator Only nodes depends on the EPS. See below for details.
- At least 3 Hot Data nodes – each with 32 vCPU, 64GB RAM and SSD disks with at least 200 Gb/s I/O throughput. The exact number of Hot Data nodes depends on the EPS and retention policy (see below).
- If you decide to deploy Warm nodes, deploy at least 3 Warm Data nodes – each with 32 vCPU, 64GB RAM and disks with at least 100 Gb/s I/O throughput. The exact number of Warm Data nodes depends on retention policy (see below).
- If you decide to deploy Frozen nodes, deploy at least 3 Frozen Data nodes – each with 16 vCPU, 64GB RAM and around 100 Gb/s I/O throughput. The exact number of Frozen Data nodes depends on retention policy (see below).
- If you decide to utilize the Archive option, then choose the **Real Time Archive** option.
- Enable FortiSIEM dynamic shard management feature (Under **Shard Allocation**, select **Dynamic**).
- Do not choose **Per Org Index** unless you have to. Keeping a separate index per organization enables you to efficiently delete an organization's data, but increases the shard count, which in turn requires more nodes because of the 500 shards per node limit.
- Follow the [Pre-install considerations in the setup guide](#).

## Sizing of Coordinator Only Nodes

Our testing has shown that 1 Coordinator Only node with 16 vCPU and 64 GB RAM (32 GB to Elasticsearch and the rest to the operating system) can handle 200K EPS. So choose the number of Coordinator Only nodes based on your EPS and keep one extra in case a Coordinator Only node dies.

Peak EPS	Coordinator Only Nodes (16 vCPU and 64 GB RAM)
50K	2
100K	2
200K	3
500K	4
1 Million	6

## Sizing of Hot Data Nodes

Choose each Hot Data node to have 32 vCPU, 64GB RAM (32 GB to Elasticsearch and the rest to the operating system), and SSD with at least 200Gbps I/O throughput. Use the calculations below to find the exact number of Hot nodes. This depends on the EPS and the retention policy.

### Assumptions

- Our experiments have shown that FortiSIEM can insert 60K EPS per Data node without Replica and without any loss
- Measurements from various SIEM installs have shown that Elasticsearch consumes an average of 500 bytes to store an event and all its parsed attributes
- Memory to Disk Ratio = 1:30. See the Elasticsearch reference documents in [References](#).



- Max 75% disk capacity for storing event indices since Elasticsearch will use the rest of the 25%. See the Elasticsearch reference documents in [References](#).

## Storage per Day

Suppose

- R: Number of Replica (at least 1 is recommended)
- E: EPS
- D: Retention (days) in Hot nodes

Data per day =  $E * \text{\#seconds in a day (86400)} * 500 \text{ Bytes} * (R + 1)$

Storage per day =  $1.25 * \text{Data per day}$

## # Hot Data Nodes from Elasticsearch Constraint

Min # Hot Data nodes =  $\text{Storage per day} * D / \text{RAM} / 30$

## # Hot Data Nodes from EPS Constraint

Suppose

- R: Number of Replica (at least 1 is recommended)
- E: EPS

Since FortiSIEM can insert 60K EPS without Replica and without any loss,

Min # Hot Data nodes =  $E * (R+1) / 60K$

## Cluster Wide Shard Count Limit

In Elasticsearch 6.x, Fortinet has observed that Elasticsearch CLI performance degrades when the total number of shards in the cluster (including Hot and Warm nodes) is more than 15K. Newer versions may have a higher upper limit.

You can calculate the number of shards as follows.

Node Count: N

Disk Size on each node: D TB

Assuming 40GB per shard, the total number of shards =  $(N * D \text{ TB}) / 40\text{GB}$

For example, at 50K EPS: (consult the two tables below)

Hot Node: 7 days retention, number of shards =  $(19 * 2\text{TB}) / 40\text{GB} = 950$

Warm Node: 30 days retention, number of shards =  $(15 * 10\text{TB}) / 40\text{GB} = 3750$

Total shards in the cluster = 4700

As you map out higher EPS and longer days, make sure the number of shards stays within the limit.

## Examples

Here are some representative numbers for Replica = 1

EPS	Storage per Day	Retention (Days)	Hot Data Nodes (32vCPU, 64GB RAM, SSD)	
			Node Count	Disk Size
10K	1TB	7	4	2TB
		30	16	2TB
		7	19	2TB
50K	5TB	14	38	2TB
		30	80	2TB
		7	38	2TB
100K	10TB	14	76	2TB
		30	160	2TB
		7	76	2TB
200K	20TB	14	152	2TB
		7	190	2TB
		14	380	2TB
500K	50TB	7	380	2TB
		14	760	2TB
		7	380	2TB
1 Million	100TB	7	380	2TB
		14	760	2TB
		7	380	2TB

### Sizing of Warm Data Nodes

Warm nodes are configured identically as Hot Data nodes, except that memory to disk ratio = 1:160. Make sure you have 32vCPU, 64GB RAM to host with 32 GB to Elasticsearch and 32GB to the operating system. See the Elasticsearch reference documents in [References](#).

Min # Warm Data nodes = Storage per day \* D / RAM / 160

### Examples

Here are some representative numbers for Replica = 1

EPS	Storage per Day	Retention (Days)	Warm Data Nodes (32vCPU, 64GB RAM and ~100Gbps Disk I/O)	
			Node Count	Disk Size
10K	1TB	30	3	10TB
		60	6	10TB
		90	9	10TB

EPS	Storage per Day	Retention (Days)	Warm Data Nodes (32vCPU, 64GB RAM and ~100Gbps Disk I/O)	
			Node Count	Disk Size
50K	5TB	30	15	10TB
		60	30	10TB
		90	45	10TB
100K	10TB	30	30	10TB
		60	60	10TB
200K	20TB	30	60	10TB
		60	120	10TB
500K	50TB	30	150	10TB
		60	300	10TB
1 Million	100TB	30	300	10TB
		60	600	10TB

### Sizing of Frozen Data Nodes

Frozen nodes are configured identically as Warm or Hot Data nodes, except for a higher memory to disk ratio = 1:1000. Note that the required query latency, rather than system resources, often limits the amount of data stored on Frozen nodes. See the Elasticsearch reference documents in [References](#).

Frozen nodes behave identically except for memory to disk ratio = 1:1000

Min # Frozen Data nodes = Storage per day \* D / RAM / 1000

### Examples

Here are some representative numbers for Replica = 1

EPS	Storage per Day	Retention (Days)	Frozen Data Nodes (16vCPU, 64GB RAM, HDD <100Gbps)	
			Node Count	Disk Size
10K	1TB	90	2	60TB
		180	4	60TB
		365	7	60TB
50K	5TB	90	8	60TB
		180	16	60TB
		365	32	60TB

EPS	Storage per Day	Retention (Days)	Frozen Data Nodes (16vCPU, 64GB RAM, HDD <100Gbps)	
			Nodes	Storage
100K	10TB	90	15	60TB
		180	30	60TB
		365	60	60TB
200K	20TB	90	30	60TB
		180	60	60TB
		365	120	60TB
500K	50TB	90	75	60TB
		180	150	60TB
		365	300	60TB
1 Million	100TB	90	150	60TB
		180	300	60TB
		365	600	60TB

## Sizing Archive Deployments

FortiSIEM Event Archives can be based on FortiSIEM EventDB on NFS or HDFS.

- [FortiSIEM EventDB Based Deployments](#)
- [HDFS Based Deployments](#)

### FortiSIEM EventDB Based Deployments

In this situation, online workers are used to query the Archived EventDB database, so only a NFS infrastructure is required. Since Archived data is not indexed, our experiments have shown that Archived EventDB needs about 60% storage compared to Online EventDB. This information can be used to estimate the amount of NFS storage required for Archive.

EPS	Retention	NFS Storage	
		Worst Case (100 Bytes/log)	Average Case (66 Bytes/log)
5K	6 months	7.5 TB	2.5 TB
	1 year	15 TB	5 TB
	3 years	45 TB	15 TB

EPS	Retention	NFS Storage	
10K	6 months	15 TB	5 TB
	1 year	30 TB	10 TB
	3 years	90 TB	30 TB
20K	6 months	30 TB	10 TB
	1 year	60 TB	20 TB
	3 years	180 TB	60 TB
50K	6 months	75 TB	25 TB
	1 year	150 TB	50 TB
	3 years	450 TB	150 TB
100K	6 months	150 TB	50 TB
	1 year	300 TB	100 TB
	3 years	900 TB	100 TB

Worst Case Storage = EPS \* 86400 \* worst case bytes/log \* retention

Average Case Storage = 0.5\* EPS \* 86400 \* average case bytes/log \* retention

Used 1024 for B -> KB etc.

## HDFS Based Deployments

An HDFS based deployment needs the following:

- Name node
- Spark Master node
- Spark Slave node
- Data node

According to HDFS best practices, the following co-locations are possible:

- Node Type A containing Name node – need 2 of these – 8vCPU and 16GB RAM each
- Node Type B containing Spark Master and Slave node – need 2 of these – 8vCPU and 16GB RAM each
- Node Type C containing Slave node and Data node – need *N* of these based on insert and query requirements.

Our experiments have shown that

- HDFS Parquet file system uses 125 bytes/event (25% of Elasticsearch storage and 30% more than FortiSIEM EventDB).
- HDFS can insert events at 200K EPS per Data node, so insert speed is not a determining criteria.

## Spark / HDFS Resource Allocation Considerations

Spark / HDFS can run the following 4 jobs.

1. Real time Archive job
2. Non-real time Archive job
3. Query Job from Supervisor
4. Merge job to merge small files written to HDFS into bigger files

The resource allocation strategy is as follows:

`totalCore` = Total number of cores on Spark Worker nodes

`totalWorker` = Total number of Spark Worker nodes

`availCore` = (`totalCore`-`totalWorker`) available to the 4 job types above

`nodeCore` = Total number of cores on one single Spark worker node

### Strategy

1. Real time archive job is allocated `rm_lambda_percent` (default 30) of resources. This parameter is defined in `/home/admin/FortiSIEM/config.txt`.
2. Remaining resources are allocated to 3 other job types in an equal manner. The parameter `rm_max_concurrent_jobs` (default 5) defined in `/home/admin/FortiSIEM/config.txt` defines the maximum number of such jobs. Each executor needs to be allocated 5 cores to run, Hence the maximum value of `rm_max_concurrent_jobs` should be the minimum value of the following two calculations:
  - a.  $(\text{availCore} * ((100 - \text{rm\_lambda\_percent}) / 100)) / 5$ .
  - b.  $(\text{nodeCore} - 1) * ((100 - \text{rm\_lambda\_percent}) / 100) / 5 * \text{totalWorker}$

These two calculations may differ as the lower integer needs to be retrieved when dividing by 5.

### Example

10 Spark nodes each 16 core and 32GB RAM.

`totalCore` = 160

`totalWorker` = 10

`availCore` = 150

`rm_lambda_percent` = 30

`rm_max_concurrent_job` = 20

1. Real time archive job will be assigned with at most 9 executors, each with 5 core and 10GB RAM. Spark will dynamically adjust the executor numbers based on running status.
2. A resource pool of 20 jobs are created, each with 5 core and 10GB RAM. Each of these can run the other 3 jobs based on demand.

Based on this, the following sizing is suggested.

EPS	Storage per Day (Replica = 1)	Retention	Total Storage	Spark Slave + Data Node (16 vCPU, 32GB RAM, HDD – 100 Gbps)	
				Count	Disk Storage
10K	125 GB	1 year	45 TB	6	8 TB
		3 years	134 TB	6	24 TB
50K	625 GB	1 year	225 TB	10	24 TB
		3 years	675 TB	10	80 TB
100K	1.25 TB	1 year	460 TB	10	48 TB
		3 years	1,380 TB	10	160 TB
200K	2.5 TB	1 year	920 TB	10	96 TB
		3 years	2,760 TB	10	300 TB
500K	5.75 TB	1 year	2,250 TB	8	300 TB
		3 years	6,750 TB	25	300 TB
1 Million	11.5 TB	1 year	4,450 TB	15	300 TB
		3 years	13,350 TB	25	600 TB

## References

Elasticsearch Concepts and Sizing Guide

<https://www.elastic.co/pdf/elasticsearch-sizing-and-capacity-planning.pdf>

Elasticsearch Sizing Guide

<https://www.elastic.co/blog/benchmarking-and-sizing-your-elasticsearch-cluster-for-logs-and-metrics>

ClickHouse Usage Recommendations

<https://clickhouse.com/docs/en/operations/tips/>



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